

# PEOPLE'S TRUST

Public Charitable Organisation for Relief Welfare & Rural Development Services)

Sahyadri Nilaya, No. 125, 1st Floor, 'A' Sector, Amruthanagar, Bangalore - 560 092



E-Mail : peoplestrust@vsnl.com  
 Website : peoplestrustindia.org  
 Bangalore Office : 23625579 / 32009369  
 Project-Sai Sriramanahalli : 28468369  
 Sai Shankar Vidyashala : 28468864

Reg 317 82-83 — 80G 78-98/82

Providing basic grass-root level services in association and collaboration with various organizations to rural children, youth, women, and the community in 18 villages in the areas of education, with a value based educational school system with residential facilities for TREP - a free Total Residential Education Programme including academics, Values and Life Skills; health services, free Eye, Dental, and Ayurvedic treatment camps with a hospital; skill training with a vocational training center; socio-economic programmes of promoting self-help groups, animal husbandry, environment etc, a Training Center for disseminating cost-effective and energy efficient Building technology and promoting personality development with a focus on imparting social and spiritual values.

- Founders (Founders)**
- late Nittoor Sreenivasa Rau  
former Chief Justice and  
Justice Commissioner of India,  
former Advisory Board Chairman  
and  
former Armadarshi N. C. Nanaiah  
former Managing Trustee
- Board of Trustees:**
- late H. Sreenivasaiah  
former Chairman
  - late N. Hanumanth Uthappaiah  
former Managing Trustee
  - late H. Desai
  - late Kshmr Chand Bharatiya
  - late G. Subbaram Setty
  - late P. Nizamuddin
  - late M. Pratima Kuttappa
  - late U. Bhaskar
  - late K. Dham
  - late M. Vijay Laxmi
  - late P. Vaswamy  
former Manager  
former Director Project Programmes
- Most Distinguished Trustees:**
- late L. Vardya  
former Chairman & Life Patron
  - late P. C. Alexander  
former High Commissioner and  
former Principle Secretary to Prime Minister  
former Governor Tamil Nadu / Maharashtra
  - late D. M. Chandrashekar  
former Chief Justice of Allahabad  
former Karnataka High Courts
  - late E. S. Venkataramaiah  
former Chief Justice of India
  - late Guruswamy Kuttappa  
former Principle of Sadguru Sai Shankar
  - late Doulathram Dadalani
  - late Abnashi Singh Sawhney

## Memorandum of Understanding

Dated: 1<sup>st</sup> June 2004

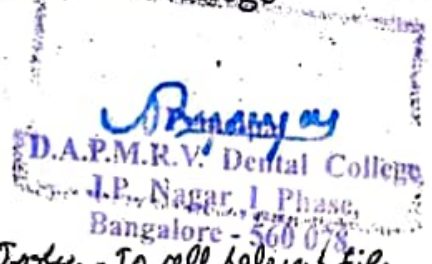


This memorandum of Understanding is entered into between the DA Pandu Memorial RV Dental College (DAPMRVDC) represented by the Principal and People's Trust, its project located in Sriramanahalli and represented by its Managing Trustee.

DA Pandu Memorial RV Dental College and hospital has adopted People's Trust, an organization for Rural Development, with its Service Center at Sai Sriramanahalli, as a Satellite Center for its Dental outreach program. The team of dentists from DAPMRVDC will be providing oral health education, screening for oral diseases and treatment at People's Trust Rural Development Service Center located in Sai Sriramanahalli and referrals if any, will be directed to DAPMRVDC on a regular basis.

*[Signature]*  
**Principal**  
 DA Pandu Memorial  
 RV Dental College

**For PEOPLE'S TRUST**  
*[Signature]*  
**Managing Trustee**  
 Managing Trustee  
 People's Trust



*[Signature]*  
 R.A. In Type - To call relevant files





Government of Karnataka  
Registration & Stamps Department

BD/2 No. 479382

Issued by  
State Bank of Mysore

Certified that a sum of Rs.100/- (Rupees One Hundred only) has been paid towards Karnataka Stamp duty by

M/s. K.S.R.T.C.

residing at BANGALORE

BANGALORE-27

Date 31 JAN 2005

Authorized Agent to collect stamp duty on behalf of Government of Karnataka

Data from the Medical History Data Bank of the project for scientific and medical research purposes.

The RVDC will not make any claims from the employees of KSRTC but will send the reimbursement claims to the nodal officer appointed by the KSRTC for settlement of medical claims as prescribed by Government of Karnataka from time to time.

In witness whereof all parties get their hand on this Memorandum on January 2005.

*[Signature]*

(Dr. K. S. Nagesh)  
Principal

R.V. Dental College

J. P. Nagar 1 Phase,

Bangalore-560 078

Witnesses:-

*[Signature]*

(M R Sreenivasa Murthy)  
Managing Director

Karnataka State Road Transport Corporation

1.

2.

*[Signature]*

Principal  
D.A.P.M.R.V. Dental College  
J.P. Nagar 1 Phase,  
Bangalore - 560 078.



RASHTREEYA SIKSHANA SAMITHI TRUST  
**R. V. DENTAL COLLEGE**

(Recognised by Dental Council of India, New Delhi)  
No. CA 37, 24<sup>th</sup> Main, 1<sup>st</sup> Phase, J.P. Nagar, Bangalore - 560 078.  
Phone : +91 (80) 2654 7053 / 2244 5754, Fax : +91 (80) 2665 8411  
E-mail : rvdc@vsnl.com Website : www.rvdentalcollege.org

Ref. : RVDC/

061 /2007-08

Date : .....13-04-07.....

Dear Sir,

I am enclosing herewith copy of MOU between RSST and CAMHADD Tri-Sector Preventive Health Care Foundation and others for your kind information.

Thanking you,

Yours faithfully,

Dr.K.S.Nagesh  
Principal

Copy to :

1. Dr.V.R.Pandurangi, Managing Trustee, CTPHCF
- ✓ 2. Dr.A.G.Hari Kiran, Asst. Prof., Dept. of Community Dentistry,RVDC
3. Mr.Senthil Kumar, Principal, RVCP, Bangalore
4. Mr.Rajashekaraiah, Principal, RVCN, Bangalore.

Principal  
D.A.P.M.R.V. Dental College  
J.P. Nagar I Phase,  
Bangalore - 560 078.

ಕರ್ನಾಟಕ ಸರ್ಕಾರ  
ಆವರಣ ಸಂಖ್ಯೆ 152 ಮುನ್ಸೂಚನೆ 2003  
ದಿನಾಂಕ 09-05-2003 ರ ಕಾರ್ಯನಿರ್ವಹಣೆಗಾಗಿ

ಕರ್ನಾಟಕ ಸರ್ಕಾರ  
Government of Karnataka

ದಾಖಲೆ ಸಂಖ್ಯೆ  
Document Sheet



ನೋಂದಣಿ ಹಾಗೂ ಮುದ್ರಾಂಕಿತ ಇಲಾಖೆ  
Registration and Stamps Department

ಈ ಪತ್ರವು ಯಾವುದೇ ದಾಖಲೆಗೆ ಬಳಸಬಹುದಾಗಿದೆ  
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ದಾಖಲೆ ಮಾಡಿದ ದಿನಾಂಕ  
Date of execution

ಒಟ್ಟು ಮುದ್ರಾಂಕಿತ ದಾಖಲೆಗಳ ಸಂಖ್ಯೆ  
Total stamp duty paid Rs

**Memorandum of Understanding between Rastreeya Sikshana Samithi Trust (RSST) and CAMHADD Tri-Sector Preventive Health Care Foundation (CTPHCF) For the Preventive Medicine and Healthy Life Style Clinic (HLC)- An Initiative of CAMHADD/WHO Country Office India to develop Jointly Bangalore Healthy City Initiative for promotive and Preventive Medicine and Healthy Life Style Clinic (HLC).**

The agreement is entered into between Rashtreeya Sikshana Samithi Trust (RSST) represented by the Honorable Secretary and CAMHADD Trisector Preventive Health Care Foundation (CTPHCF) represented by the Managing Trustee and the Chairman for the purposes of developing Promotive and Preventive Medicine and Healthy Life Style Clinic (HLC) by involving R.V.Dental College, R.V.College of Physiotherapy, R.V.College of Nursing, and for health promotion and spinal health including spinal and scoliosis screening at R.V. Higher Primary School, R.V.High Public School, and R.V.Girls and Boys school.

Wherever it is appropriate, research and development will be initiated for exchange of information and experience and dissemination of information of the information nationally and internationally for the benefit of low and middle-income group of population in India and abroad. The support and co-operation of the RSST will be acknowledged. The CTPHCF office is located at R.V.Dental College.

Promotive and Preventive Health Care Programme involves focusing on screening, treatment, referral and follow up for prevention of hypertension, diabetes including diabetic retinopathy, routine eye screening for refractory errors and glaucoma, oral health and screening for muscular-skeletal disorders and physiotherapy including spinal and scoliosis screening to promote occupational health and safety and health at work and prevention of occupational health hazards with Technical support from the World Health Organisation(WHO) in association with Tri-Sector and global partners.

One Day Tri-sector was organized by CAMHADD on "Citizens and Governance Programme" Tri-sector Dialogue Preventive Health care for Bangalore Urban Poor" in Bangalore on 10.1.2003 in which Commonwealth, World Health Organization have participated in association with Bangalore Mahanagara Palike (BMP), Rajiv Gandhi University of Health Sciences and Sri Jayadeva Institute of Cardiology. Following this event, Bangalore Healthy City Summit was organized on 24-25 February 2004 jointly by CAMHADD and BMP. The Outcome of these events was to establish "Bangalore Healthy City Initiative focusing on promotive and preventive health care and Healthy Life Style Clinics (HLC)" - An Initiative of CAMHADD and WHO India Office. CAMHADD.CTPHCF is specialized in rendering advise, guidance and management of the specialized centers.

*(Handwritten signatures)*

**R.V. Dental College**  
J.P. Nagar I Phase,  
Bangalore - 560 078.

ಕರ್ನಾಟಕ ಸರ್ಕಾರ  
ಆಡಳಿತಾತ್ಮಕ ಕಾರ್ಯದ ಮುಖಾಂತಿ  
ದಿನಾಂಕ 09-05-2008ರಲ್ಲಿ ಕಾರ್ಯಮುಕ್ತವಾಗಿದೆ

ಕರ್ನಾಟಕ ಸರ್ಕಾರ  
Government of Karnataka

ದಾಖಲೆ ಸಂಖ್ಯೆ  
Document No.



ನೋಂದಣಿ ಹಾಗೂ ಮುದ್ರಾಂಕಿತ ವಿಭಾಗ  
Registration and Stamps Department

ಈ ದಾಖಲೆಗೆ ಯಾವುದೇ ಅಧಿಕಾರವಿಲ್ಲ  
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ವಿಧಿಸಿದ ದಿನಾಂಕ  
Date of execution

ಮಾನ್ಯತೆ ಪಡೆದ ಮುದ್ರಾಂಕಿತ  
Total stamp duty paid Rs.

As a result of this, four centers of excellence (a) Preventive Cardiology Centre at BMP Maternity Home Shanthinagar and (b) Preventive Medicine and Healthy Life Style Clinic (HLC) at KSRTC Jayanagara Hospital (c) Preventive Medicine and Healthy Life Style Clinic at CAR Police Hospital, Mysore Road Bangalore, and (d) Preventive Medicine and Healthy Life Style Clinic at KSRP Hospital Koramangala established jointly with CTPHCF.

CTPHCF is committed to provide good health care, referral, treatment and follow up with Tri-Sector Partners (Government, Public/Private Sector, and Civil Society) and Global Partners with WHO Technical collaboration and co-operation CTPHCF will provide specialized implementation team offering their services on voluntary basis. However they will be provided with local travel allowances.

The role of the CTHCF will be a co-coordinating NGO to identify the specialized medical and paramedical staff and select and recommend the referral hospitals for specialized treatment as per the guidelines and protocol stipulated by the WHO for the Bangalore Healthy City Project and its network outside Bangalore.

The CTHCF will also assist in:

- Capacity building, training, workshops and seminars
- Developing collaboration with Commonwealth, WHO, International Organizations, Universities and Institutes for promotive and preventive health care including school health education.
- Supervision, monitoring, survey, and evaluation of the programme.
- Preparation of the report and documents
- Developing community oriented research studies
- Identifying national and international donors to develop infrastructure for sustainable development and continuity of the programme for developing individual workers health profile
- Any other health areas mutually agreed between CTPHCF and Organized Sectors.

*Dr. Anjaneyan*  
D.A.P.M.R.V. Dental College  
J.P. Nagar 1 Phase,  
Bangalore - 560 078.

ಕರ್ನಾಟಕ ಸರ್ಕಾರದ  
ಆದೇಶ ಸಂಖ್ಯೆ 303-152 ಮುನೋಮು 2003  
ದಿನಾಂಕ 09-05-2003ರ ಪ್ರಕಾರ ಮುದ್ರಿಸಲಾಗಿದೆ

ಕರ್ನಾಟಕ ಸರ್ಕಾರ  
Government of Karnataka

ದಾಖಲೆ ಪತ್ರ  
Document Sheet



ನೋಂದಣಿ ಹಾಗೂ ಮುದ್ರಾಂಕ ಇಲಾಖೆ  
Registration and Stamps Department

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Date of execution

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Total stamp duty paid Rs.

Under this agreement, all data collected during screening, treatment, referral and follow up of the cases could be used by CTPHCF for the purposes of scientific and research and dissemination of information and experience for the benefit of other low and middle income group in any part of India or elsewhere. The support and co-operation of the RSST will be acknowledged.

In witness whereof, all parties get their hand on this Memorandum of Understanding (MOU) on 29<sup>th</sup> March 2007.

V.R.Pandurangi  
Dr V.R.Pandurangi  
Managing Trustee, CTPHCF  
Bangalore.

*[Signature]*  
Dr K.S.Nagesh.  
Chairman, CTPHCF  
Bangalore.

*[Signature]*  
Sri. A.V.S.Murthy  
Hon. Secretary  
Rashtriya Sishuana Samithi Trust  
Bangalore.

Witness

- 1.
- 2.

*[Signature]*  
B.A.P.M.K. College  
J.P. Nagar 1 Phase,  
Bangalore - 560 078

**Memorandum of Understanding (MOU)**

Between  
Dental Public Health Group, Department of Epidemiology and Public Health  
University College London, United Kingdom

and Department of Preventive and Community Dentistry/Dental Public Health,  
DAPM.R.V. Dental College [Rashtriya Sikshana Samithi Trust] Bangalore, Karnataka, India

December 2008

This Memorandum of Understanding (MOU) establishes a cooperative relationship between the two academic departments to facilitate a mutually beneficial link:

Provision of support and assistance in the development, design, implementation and evaluation of dental public health/public health programs

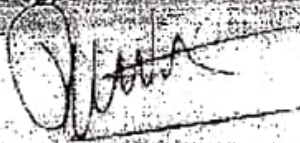
Provision of support and assistance in evaluation/data analysis of dental public health/public health activities in India

Provide support/facilitate academic faculty visits for academic courses/visiting scholars/research affiliates

Assist in the development of teaching modules in post graduate programs in the department

Encourage the academic careers of young faculty/students of DAPM.R.V. Dental College through their application to MSc courses at UCL

Develop/provide opportunities to MSc/PhD students to undertake field research in India

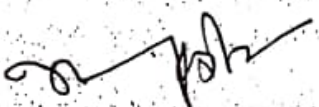
  
Professor Richard Watt BDS PhD MFPH

Professor & Honorary Consultant of Dental Public Health

UCL Department of Epidemiology and Public Health  
University College London, 1-19 Torrington Place, London WC1E 6BT  
Tel: +44 (0)20 7675 1600 Fax: +44 (0)20 7675 0286  
mailto:ucl.ac.uk www.ucl.ac.uk/dph

Principal  
D.A.P.M.R.V. Dental College  
Phase,  
Bangalore - 560 078.

  
Dr. A. G. Hankar  
H.O.D. Community Dentistry  
D.A.P.M.R.V. Dental College  
Commonwealth Professional Fellow, 2008

  
V.R. Pandurangi  
Dr. V. R. Pandurangi  
Founder Emeritus and Mentor  
CAMHADD

Dr. K.S. Nagesh  
Principal  
D.A.P.M.R.V. Dental College





**N.A. EDUCATIONAL TRUST (R)**



Ref: N.A/2019/85

Date: 08/11/2019

**To whomsoever it may Concern.**

We are associated with Prof.N.Kalavathy past 6 years from 2013. The association is for conducting dental educational programmes through AAID Study Club.

We have Authorized Prof.Dr.N.Kalavathy through NA Educational Trust @ as a Chairman- Scientific Committee- AAID MaxiCourse@ Bangalore, India

We are glad to be associated with her.



**Dr. Syed Khalid Altaf, M.D.S, F.P.F.A, (USA)**  
(Specialist in Lingual Orthodontics)

Associate Fellow - AAID

Managing Trustee: N.A Educational Trust@ Bangalore

Clinical Director & Course Coordinator AAID MaxiCourse@

International Coordinator, India & Sri Lanka.

Mobile: +91 98450 71817 / Office: +91 80 4110 5409

Email: [drsvedkhalid@hotmail.com](mailto:drsvedkhalid@hotmail.com) Website: [www.maxicourseasia.com](http://www.maxicourseasia.com)

Regd. Office: N.A. Chamber 1, No. 3J, 7th 'C' Main, 3rd Cross, 3rd Block, Koramangala, Bangalore - 560 034

Branch Office: N.A. Chambers II, No. 478, 80ft. Road, 6th Block, Koramangala, Bangalore - 560 095

Ph.: 080 - 41105409, Cell: +91- 895183 4156, E-mail: [ccmazcourseblr@gmail.com](mailto:ccmazcourseblr@gmail.com), web.: [www.aaid.org](http://www.aaid.org), [www.aaid-asia.org](http://www.aaid-asia.org)

Principal  
D.A.P.M.R.V. Dental College  
J.P. Nagar I Phase,  
Bangalore - 560 078.



**ARISTOGENE Biosciences Pvt. Ltd.**

**MEMORANDUM OF UNDERSTANDING**

**BETWEEN**

**ARISTOGENE BIOSCIENCES PVT LTD.**

**A-67(A), 1<sup>st</sup> CROSS,**

**RAJAJINAGAR INDUSTRIAL ESTATE,**

**BANGLORE-560044**

**AND**

**D.A.P.M. R.V DENTAL COLLEGE**

**No. CA 37, 24th MAIN, 1st PHASE, J P NAGAR,**

**BANGALORE-560078**

**ON**

**CONDUCTING VARIOUS GENETIC STUDIES AT SUBSIDISED PRICES FOR  
ACADEMIC AND RESEARCH PURPOSE ONLY.**

*Vasudha B*  
Principal  
D.A.P.M.R.V. Dental College  
J.P. Nagar  
Bangalore - 560 078.

Mrs. VASUDHA. B

PROJECT GUIDE AND DIRECTOR,

ARISTOGENE BIOSCIENCES PVT LTD.

*D. Dhanesh M.R.*

Principal  
D.A.P.M.R.V. Dental College

J.P. Nagar I Phase,  
Bangalore - 560 078.

D.A.P.M. R.V DENTAL COLLEGE, BANGLORE



**ARISTOGENE Biosciences Pvt. Ltd.**

The memorandum of understanding is initially for a period of 3 years subject to renewals by mutual agreement thereafter.

The agreement could be annulled by either party by giving one month notice in writing signed on 19<sup>th</sup> August 2013 at Bangalore by both parties:

ARISTOGENE BIOSCIENCES PVT LTD.

For D.A.P.M. R.V DENTAL COLLEGE

*V. Vasudha*  
.....

**Mrs. VASUDHA. B**

PROJECT GUIDE AND DIRECTOR

*D. Dinesh M.R.*  
.....

**Dr. DINESH. M.R**

**Principal**

**D.A.P.M.R.V. Dental College**

**J.P. Nagar I Phase,**

**Bangalore - 560 078.**

*D. Dinesh M.R.*

**Principal**

**D.A.P.M.R.V. Dental College**

**J.P. Nagar I Phase,**

**Bangalore - 560 078.**

## MEMORANDUM OF UNDERSTANDING

BETWEEN

The M/s D A P M R V Dental College, #CA-37,24<sup>th</sup> main,1<sup>st</sup> phase, JP Nagar, Bangalore-560078, Here in after referred to as "College and Hospital" which term shall mean and include its officers, representatives, members and beneficiaries in office on the first part;

AND

The M/s LUCID Diagnostics (Karnataka) PVT Ltd. No.46, 27<sup>th</sup> cross, 3<sup>rd</sup> mainroad, Jayanagar, 7<sup>th</sup> block, Bangalore -560082. Here in after referred to as "service provider" which term shall mean and include its officers, representatives, assigns and successors in office on the second part:

Wherein in the service provider would monitor the efficacy of infection control protocol in the clinical premises of college and hospital through swab cultures. The service provider promises to collect 1200 swabs over a period of 1 year & submit the reports to the College & Hospital. The College & Hospital would pay a sum of 144000 for the service provided.

The memorandum of understanding is initially for a period of 1 year subject to renewals by mutual agreement thereafter.

The agreement could be annulled by either party by giving one month in writing.

Signed on: 12-08-2014 Bangalore by both the parties.

For Lucid Diagnostics(Karnataka)Pvt Ltd.

For Lucid Diagnostics (Karnataka) Pvt. Ltd.

Authorized Signatory

For DAPMRV Dental College



Principal  
D.A.P.M.R.V. Dental College  
J.P. Nagar I Phase,  
Bangalore - 560 078.

Principal

Regd Office

# Sri Sathya Sai Seva Organistions

Bengaluru South District, Karnataka

Date : 23.11.2014

## MEMORANDUM OF UNDERSTANDING

This Memorandum of Understanding is entered into between the **D.A.Pandu Memorial R V Dental College (DAPMRVDC)** represented by the principal and **Sri Sathya Sai Seva Organisations** and its project which is located in **Dodbelle Village, Off Mysore Road, Near Kengeri** and represented by its Youth Co-ordinator.

D.A.Pandu Memorial R V Dental College & Hospital has adopted Sri Sathya Sai Seva Organisation for Rural Development, with its services at Dodbelle Village as a **Satellite Centre** for its Dental Outreach Program. The team of dentists from DAPMRVDC will be Providing oral health education, screening for oral diseases and treatment at Sri Sathya Sai Seva Organisations located in Dodbelle and referrals, if any, will be directed to DAPMRVDC on a regular basis.

  
Principal

D.A.Pandu Memorial R V Dental College



Youth Co-ordinator  
Sri Sathya Sai Seva Samithi  
Padmanabanagar Bangalore



Principal  
D.A.P.M.R.V. Dental College  
J.P. Nagar I Phase,  
Bangalore - 560 078.

# Sri Sathya Sai Yuva Vrinda

Bengaluru, Karnataka

Date : 23.11.2014

## MEMORANDUM OF UNDERSTANDING

This Memorandum of Understanding is entered into between the **D.A.Pandu Memorial R V Dental College (DAPMRVDC)** represented by the principal and **Sri Sathya Sai Yuva Vrinda Organisation** and its project which is located in **Dodbelle Village, Off Mysore Road, Near Kengeri** and represented by its Convenor.

D.A.Pandu Memorial R V Dental College & Hospital has adopted Sri Sathya Sai Yuva Vrinda Organisation for Rural Development, with its services at Dodbelle Village as a **Satellite Centre** for its Dental Outreach Program. The team of dentists from DAPMRVDC will be providing oral health education, screening for oral diseases and Treatment at village undertaken by Sri Sathya Sai Yuva Vrinda located in Dodbelle and referrals, if any, will be directed to DAPMRVDC on a regular basis.

  
Principal

D.A.Pandu Memorial R V Dental College  
Principal  
D.A.P.M.R.V. Dental College  
J.P. Nagar I Phase,  
Bangalore - 560 073.



For Sri Sathya Sai Yuva Vrinda

  
CONVENOR

Convenor  
Sri Sathya Sai Yuva Vrinda  
Padmanabanagar Bangalore

Principal  
D.A.P.M.R.V. Dental College  
J.P. Nagar I Phase,  
Bangalore - 560 073.

Love All Serve All - Help Ever Hurt never



Deutsche Cleft Kinderhilfe e.V. · Stühlingerstr. 11 · 79106 Freiburg

Ref: DCKH/IND/2014/058

To  
The Principal  
RV Dental College  
Bengaluru

7th December 2014

**Empanelment for cleft treatment /orthodontics**

Dear Sir,

Further to your request and subsequent consultation we are pleased to empanel your institution as a partner referral center for orthodontic treatment of cleft patients in the limits of Bengaluru City.

Further communication and process shall be established between our affiliated entity and the department of orthodontics.

Look forward to your kind confirmation in order to initiate the same at the earliest.

Sincerely,

For Deutsche Cleft Kinderhilfe e.V.

Dushyant Prasad  
Regional Manager

Principal

Executive Board

Prof. Dr. med.

Frank Feyerherd

CEO

Alexander Gross

Deutsche Cleft Kinderhilfe e.V.

German Cleft Children's Aid Society

Stühlingerstr. 11, 79106 Freiburg, Germany

Phone +49 761 137976-0, Fax +49 761 137976-29

info@soaltkinder.org www.soaltkinder.org

Donations Account

Bank für Sozialwirtschaft

Account no. 84 84 200

BLZ 251 205 10, BIC BFSWDE33HAN

IBAN: DE46 2512 0510 0008 4842 00

D.A.P.M.B.V. Dental College  
J.P. Narayana Hospital  
Bangalore 560 078



Indique Penta  
#51, Richmond Road, Bengaluru 560025  
P: +91 80 48148651  
E: info@abmss.in  
W: www.abmss.in

Ref: ABMSS/2019/128

4<sup>th</sup> November 2019

To,  
The Principal  
RV Dental College  
Bengaluru

**Sub: Empanelment for cleft treatment /orthodontics**

Dear Sir,

Further to your request, we are pleased to inform you that the empanelment of your institution and department of orthodontics is valid and in good standing as on date.

This has reference to the initial Empanelment letter dated 7th December 2014 by Deutsche Cleft. ABMSS, is the Indian affiliate of Deutsche Cleft Kinderhilfe e.V.

Sincerely,

For ABMSS

Dr Dushyant Prasad  
General Secretary

Principal  
D.A.P.M.R.V. Dental College

Akila Bharatha Mahila Seva Samaja®  
Registered under the Karnataka Societies Act with Reg. No - 495 / 93-94  
Registered under the NITI Aayog, Government of India, Unique ID: KA/2017/0163612  
Donations made to the Society are exempted u/s 80G of Income Tax Act., 1961  
(80G Reg. No. DIT (E) / BLR / 80GR / 491 / AAAAA3304N / E-1 / 2007-08)  
FCRA Reg. No - 094420783 dated on 23.05.2016  
Registered Office: No 268, 6<sup>th</sup> cross, 1<sup>st</sup> block, Jayanagar, Bengaluru-560 011, Karnataka

Registered with  
Niti Aayog  
नीति आयोग  
Unique ID: KA/2017/0163612





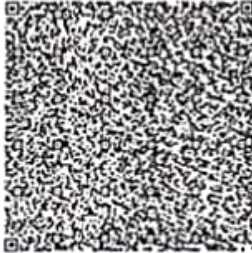
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# INDIA NON JUDICIAL Government of Karnataka

## e-Stamp

Certificate No. : IN-KA73701585654251N  
 Certificate Issued Date : 11-Jun-2015 11:24 AM  
 Account Reference : NONACC (FI)/ kacrs1108/ JAYANAGAR2/ KA-BA  
 Unique Doc. Reference : SUBIN-KAKACRSFLO896764792365437N  
 Purchased by : DAPM R V DENTAL COLLEGE  
 Description of Document : Article 12 Bond  
 Description : DANTA BHAGYA YOJANE  
 Consideration Price (Rs.) : 0  
 (Zero)  
 First Party : GOVERNMENT OF KARNATAKA  
 Second Party : DAPM R V DENTAL COLLEGE  
 Stamp Duty Paid By : DAPM R V DENTAL COLLEGE  
 Stamp Duty Amount (Rs.) : 200  
 (Two Hundred only)

Authorized Signatory  
 The Karnataka State Registration & Stamp Department  
 Official's Multipurpose Co-operative Society Limited  
 Jayanagar Branch

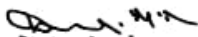


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### MEMORANDUM OF UNDERSTANDING

This Memorandum of Understanding made on the 15<sup>th</sup> day of June 2015 by and

**BETWEEN:** Commissionerate, Health, Family Welfare and AYUSH Services, Government of Karnataka whose headquarters are located at 3<sup>rd</sup> Floor, IPP Building, Directorate of Health & Family Welfare Services, Anand Rao Circle, Bangalore- 560009 (herein referred to as 'The First Party').

  
 Principal  
 D.A.P.M.R.V. Dental College  
 J.P. Nagar I Phase,  
 Bangalore - 560 078.

  
 Principal  
 D.A.P.M.R.V. Dental College  
 J.P. Nagar I Phase,  
 Bangalore - 560 078.

AND: DAPM R V Dental College (herein referred to as 'The Second Party') whose address is CA-37, 24<sup>th</sup> Main, J.P.Nagar I<sup>st</sup> Phase, Bangalore - 560 078.

WHEREAS Commissionerate, Health, Family Welfare and AYUSH Services, Government of Karnataka (GoK), is the Competent Authority at State level to implement various Programmes and Schemes of the Health & Family Welfare Department and shall implement the Oral Health Policy to provide complete dentures to the needy senior citizens (60 years and above) who live below poverty line and this part of the policy shall be herein called as "Danta Bhagya Yojane".

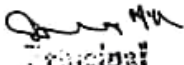
WHEREAS DAPM R V Dental College, located at CA-37, 24<sup>th</sup> Main, J.P.Nagar I<sup>st</sup> Phase, Bangalore - 560 078 established in the year 1992 has professional, academic and technical proficiency in implementing the scheme "Danta Bhagya Yojane" and henceforth has agreed to provide the technical support and treatment for providing the complete dentures to the needy senior citizens who live below poverty line.


AND WHEREAS Commissionerate, Health, Family Welfare and AYUSH Services, Government of Karnataka, and DAPM R V Dental College recognize the necessity to synergize and mutually co-operate to provide complete dentures to the needy senior citizens who live below poverty line in Karnataka and thereby to effectively implement the scheme of "Danta Bhagya Yojane" as part of the Oral Health Policy.

NOW THEREFORE, this MoU hereby bestows the covenants in terms of certain roles and responsibilities for the parties for the smooth functioning of the "Danta Bhagya Yojane" and other schemes of Oral Health Policy as and when announced by the First Party.

**Responsibilities of Commissionerate, Health, Family Welfare and AYUSH Services, Government of Karnataka:**

1. Health & Family Welfare Department shall implement the scheme of "Danta Bhagya Yojane" in 30 Districts of Karnataka through the Government and Private Dental Colleges of Karnataka.
2. Eligibility criteria for identifying the beneficiaries, documentation process and records maintenance of beneficiary shall be made by the Health & Family Welfare Department.
3. Health & Family Welfare Department shall pay an amount of Rs. 500 (Rupees Five Hundred Only) per complete denture to the Dental College which includes the cost of treatment plan, manpower, materials, technical services, and other incidental expenses.
4. Health & Family Welfare Department shall provide the format for referral slips and monthly reports to all the concerned Institutions.

  
Principal  
D.A.P.M.R.V. Dental College  
J.P. Nagar I Phase,  
Bangalore - 560 078.

  
Principal  
D.A.P.M.R.V. Dental College  
J.P. Nagar I Phase,  
Bangalore - 560 078.

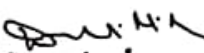
5. The Medical Officer/Dental Officer working at any State-run Government Health Centre/Government Hospital shall authorize the beneficiaries to avail the benefits of the scheme after scrutinizing the necessary documents. This does not include the Health Centres/Hospitals run by the Central Government.
6. The payments to the Colleges shall be made online directly into their bank accounts on a monthly basis.
7. Health & Family Welfare Department shall train the ANM's/ASHA's/paramedical personnel within their jurisdictional District to identify, diagnose and refer the beneficiaries to the allotted Dental College.


**Responsibilities of the Dental College:**

1. Dental College shall conduct some dental outreach camps and refer the beneficiaries to the Dental College or conduct on-site treatment for complete dentures.
2. Dental College shall not charge any kind of additional fees such as OPD card charges or registration fees, etc. The scheme does not include the cost of drugs, medications and other incidental expenses related to the complete dentures. However, any beneficiary having a few remaining teeth indicated for total extraction or any other minor procedures indicated as treatment plan for insertion of complete dentures shall be free of cost including tooth extractions.
3. Complete dentures shall be made using standard treatment procedures and materials.
4. The Dental College shall not deny or delay treatment for the eligible beneficiaries of this scheme. However the beneficiaries can be allotted appointment on first-cum-first serve basis and preferably on fixed days of the month to avoid undue delay of treatment.
5. The Dental College shall treat the beneficiaries of this scheme as its own patient and take all necessary measures such as informed consent.
6. The Dental College shall submit their monthly reports to the District Health & Family Welfare Officer and Deputy Director (Medical) periodically as agreed by both the parties.

**The parties agree as follows:**

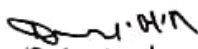
1. Term: The Project implementation will commence on 1<sup>st</sup> of July 2015. The term of this Memorandum of Understanding is for 5 years (five years) and may be extended subject to satisfactory performance and decision of the GoK.
2. Financial provisions and management of funds: All the payments for project activities will be paid directly to the Second party on a post-audit basis, by the State level office on submission of reports by the Second Party to the Deputy Director (Medical).

  
Principal  
D.A.P.M.R.V. Dental College  
J.P. Nagar 1 Phase,  
Bangalore - 560 078


  
Principal  
D.A.P.M.R.V. Dental College  
J.P. Nagar 1 Phase,  
Bangalore - 560 078

An amount of Rs 50,000 will be released in advance to the Second Party for provision of 100 dentures. The second party will be eligible for subsequent advance payments after completion of 100 dentures. Reports should be submitted online every month in the prescribed format including pre & post photographs of the beneficiary.

3. The Second Party shall not make any changes without prior approval from the Commissioner, Health & Family Welfare Department, Government of Karnataka. Changes in the line item shall not alter the main purpose of the project and shall be done only to ensure smooth implementation of the agreed project goal.
4. Statutory liabilities such as TDS (Tax deduction at Source), Professional Tax, etc will be the responsibility of the Member Secretary, Oral Health Policy, and the deductions will be made accordingly as applicable.
5. Visibility: The second party must take all necessary steps to publicize the fact that the Health and Family Welfare Department, Government of Karnataka, has financed the activities funded under this scheme. The Health and Family Welfare Department, Government of Karnataka, shall acknowledge the second party for its effort and technical support in implementing the project.
6. Force Majeure: Neither party shall be responsible for any breach of contract due to a Force Majeure which is irresistible, unforeseeable and exterior.
7. Assignment: This Memorandum of Understanding and the ensuing disbursement may not be transferred or assigned to a third party in any manner whatsoever without prior written consent from the Health & Family Welfare Department, Government of Karnataka.
8. Independent second party relationship: Nothing contained herein shall be construed to imply a joint venture, partnership, or employer and employee relationship between parties. Neither party shall have any right, power, or authority to create any obligation, express or implied, on behalf of the other except as defined in this Memorandum of Understanding or as mutually agreed to under the terms of Memorandum of Understanding. The employees or agents of one party shall not be deemed or construed to be the employees or agents of the other party for any purpose whatsoever.
9. Modifications, Amendments or waivers: No modifications or amendments to this Memorandum of Understanding nor the waiver of any provision shall be valid unless presented in writing and signed by duly authorized representatives of both the parties.
10. Applicable laws- Legal disputes: This Memorandum of Understanding shall be interpreted by, and construed in accordance with the laws of the Republic of India. All disputes, differences or questions between the parties with respect to any matter arising out of or relating to, but not limited to, the existence, validity, construction, performance and termination of this agreement which the parties cannot amicably settle shall be finally settled under the Rules of Arbitration, by one or more arbitrators appointed in accordance with said Rules. The arbitration shall take place in Bangalore (India) and the arbitration proceedings shall be conducted in the English language under Indian law.

  
Principal

**D.A.F.M.R.V. Dental College**  
J.P. Nagar I Phase,  
Bangalore - 560 073.

  
Principal  
D.A.F.M.R.V. Dental College,  
J.P. Nagar I Phase,  
Bangalore - 560 073.

April 21, 2015

Ref: ABMSS/2015/008

To  
Dr Akshai Shetty  
Professor  
Department of Orthodontics  
RV Dental College  
Bengaluru

**Sub: Appointment as Member of Medical Advisory (Honorary)**

Dear Prof. Shetty,

We are pleased to invite you as a member of the medical advisory board (Orthodontics) of our society, ABMSS, Indian affiliate of Deutsche Cleft Kinderhilfe eV.

The role is specific to the area of orthodontics and comprehensive cleft care management that we advocate.

The role is honorary for consultancy and supervision of our partner centers. However, training and workshops conducted or facilitated by you may be paid an honorarium for your time and efforts.

We look forward to having you as a member of the medical advisory board which is appointed by rotation by the Governing Council of the society.


In anticipation of your written consent and acceptance.

Thanking you,  
Yours truly,

For ABMSS



Dushyant Prasad  
General Secretary

  
Principal  
D.A.P.M.R.V. Dental College  
J.P. Nagar I Phase,  
Bangalore - 560 069

# SRI SATHYASAI ANNAPOORNA TRUST (Regd.)

Sai Sannidhi, Sathya Sai Grama, P.O. Muddenahalli-562101, Chickballapur District, Karnataka, INDIA  
Ph. STD Code: 08158-262102, 262103

Date : 12.04.2016

## MEMORANDUM OF UNDERSTANDING

This Memorandum of Understanding is entered into between the **D.A.Pandu Memorial R.V Dental College (DAPMRVDC)** represented by the principal and **Sri Sathya Sai Annapoorna Trust** and its project which is located in No:D-305,Sai Sannidhi, Sathya Sai Grama , P.O. Muddenahalli – 562101,Chickballapur District , Karnataka represented by its Trustee.

D.A.Pandu Memorial R V Dental College & Hospital would work jointly with Sri Sathya Sai Annapoorna Trust and its Organization for Rural Health Development with its services across various villages as a **Satellite Centre** for its Dental Outreach Program. The team of dentists from DAPMRVDC will be providing oral health education, screening for oral diseases and treatment at village undertaken by Sri Sathya Sai Annapoorna Trust located at No:D-305,Sai Sannidhi, Sathya Sai Grama , P.O. Muddenahalli – 562101,Chickballapur District , and referrals, if any, will be directed to DAPMRVDC on a regular basis.

This MOU is valid for a period of **ONE** year from the date of entry into MOU and will be renewed after one year with mutual consent. Cancellation of MOU if any, with one months notice.

Principal

D.A.Pandu Memorial R V Dental College

Principal

D.A.P.M.R.V. Dental College  
Nagar Phase,  
Bangalore - 560 078.

K.A: D. J. ... + All ...

Trustee

Sri Sathya Sai Annapoorna Trust

Sri Sathya Sai Annapoorna Trust  
D-305 "Sai Sannidhi" Sathya Sai Grama  
Muddenahalli Post - 562101  
Chickballapur District  
Karnataka, INDIA.

Date: January 1, 2017

To,  
The Principal,  
RV Dental College


Through  
Dr. Akshay Shetty  
Professor,  
Department of Orthodontic  
RV Dental College

Dear Sir/Madam,


Inga Health Foundation is pleased to collaborate with your department for the management of orthodontics for our Foundation patients (whom we treat free of cost).  
Inga Health Foundation will reimburse a sum of Rs. 15,000.00 towards the treatment to your college.

Thank you for your co-operation

**For INGA HEALTH FOUNDATION**

  
**Trustee**

Medical Director & Trustee  
Prof. Dr. Krishna Shama Rao  
MBBS,FRCS,MDS,FDSRCS



Principal  
D.A.P.M.R.V. Dental College  
J.P. Nagar I Phase,  
Bangalore - 560 078.



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# INDIA NON JUDICIAL Government of Karnataka

## e-Stamp



Certificate No. : IN-KA55900800083027Q  
 Certificate Issued Date : 16-May-2018:11:58 AM.  
 Account Reference : NONACC (FI) kaksfcl08/JAYANAGAR/ KA-BA  
 Unique Doc. Reference : SUBIN-KAKAKSFCL0857010433254703Q  
 Purchased by : CANARA BANK RELIEF AND WELFARE SOCIETY  
 Description of Document : Article 12 Bond  
 Description : AGREEMENT  
 Consideration Price (Rs.) : 0  
 (Zero)  
 First Party : RASHTREEYA SIKSHANA SAMITHI TRUST  
 Second Party : CANARA BANK RELIEF AND WELFARE SOCIETY  
 Stamp Duty Paid By : CANARA BANK RELIEF AND WELFARE SOCIETY  
 Stamp Duty Amount (Rs.) : 200  
 (Two Hundred only)



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### AGREEMENT

Memorandum of Agreement made on this 16<sup>th</sup> Monday of May 2018, executed by and between Rashtreeya Sikshana Samithi Trust, represented by its Hon. Secretary, Sri A.V. S. Murthy, hereinafter called Party A.

And

Canara Bank Relief & Welfare Society, represented by its Hon. Secretary Sri P. Aravind Rao, hereinafter called Party B.

Hon. Secretary,

Rashtreeya Sikshana Samithi Trust  
Block, Jayanagar, Bangalore - 560017

Hon. Secretary

Principal, HANM.R.V. Dental College, 3rd Nagar 1 Ph

1. Validity of this Stamp Certificate is void if the details on this Certificate and as

2. The details of checking the legitimacy is on the users of this Certificate.





Whereas Party A is a registered body running amongst other Institutions, D.A. Pandu Memorial R.V. Dental College (DAPMRVDC) located at CA 37, 24<sup>th</sup> Main, 1<sup>st</sup> Phase, J.P. Nagar, Bangalore – 560 078.

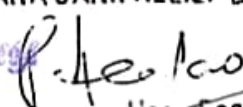
Whereas Party B, Canara Bank Relief & Welfare Society located at 27<sup>th</sup> Cross, Banashankari 2<sup>nd</sup> Stage, Bangalore – 560070, amongst other divisions has Sevakshetra Hospital (SKHCBRWS) located within the same campus and run by Party B.

Whereas Party A wishes to utilise the infrastructure and hospital facilities in Sevakshetra Hospital with the consent of Party B for purposes of teaching BDS and MDS students of DAPMRVDC in medical subjects which includes theory, practical and clinicals by qualified staff and Doctors of Sevakshetra Hospital.

1. Party A shall give a mutually agreed annual amount in advance of Rs.3,50,000/- (Rupees Three Lakh Fifty Thousand only) in the month of April to Party B towards utilising the expertise and facilities provided by the Party B for training students of DAPMRVDC in medical subjects.
2. It shall be the duty of Party B to maintain the 100 bedded hospital as per Bureau of Indian Standards norms for hospitals.
3. If a patient referred by DAPMRVDC is admitted for treatment and treated by the doctors of Party A, excepting surgeon charges all other charges as per the schedule of party B shall be collected by Party B from the patient/ DAPMRVDC (where a letter has been given by DAPMRVDC).
4. If a patient of Party B is admitted for treatment and is treated by the doctors of Party A, the professional charges shall be paid to the concerned Surgeon as per the rules and schedule of Party B (SKHCBRWS) and all other charges as per the Schedule of Party B shall be collected from the patient by Party B.
5. Party B i.e. Sevakshetra Hospital agrees to make necessary arrangements wherever possible to depute doctors in its panel / appointed by the hospital for teaching purpose to the students training.
6. Nothing shall be done by the trainees by which fair name and reputation and images of Party B will be in jeopardy and they shall ensure that the basic motto of service to the people is complied with at all times.

  
Hon. Secretary,  
Rashtrreya Sikshana Samithi Trust,  
11 Block, Jayanagar, Bangalore-560011.

  
Principal,  
D.A.P.M.R.V. Dental College,  
J.P. Nagar 1<sup>st</sup> Phase,  
Bangalore-560 078.

  
Hon. Secretary,  
CANARA BANK RELIEF & WELFARE SOCIETY

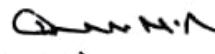
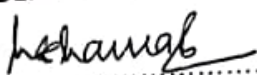
7. Party A shall be responsible and liable to compensate the Party B in case of breakages of instruments and equipments by the students of Party A.
8. The agreement shall be effective from 1<sup>st</sup> April 2018 and shall be in force for a period of 3 years renewable or extended for a further period by mutual consent, with such modifications / alterations as may be deemed necessary by the parties hereto.
9. If at any point of time either party wants to cancel or make alternate arrangements, three months prior notice shall be given in writing and at the end of this notice period this agreement shall be deemed to have been terminated and shall cease to be in force. However, in such case Party A shall not be entitled to receive refund of any amount paid by Party A.


**Arbitration:**


Any dispute arising between the parties shall be referred to a panel of arbitrators consisting of a person from medical profession, an executive of Canara Bank and a person acceptable to both parties and the decision of the arbitrators shall be binding on both the parties.

IN WITNESS WHEREOF, PARTY A AND PARTY B HAVE SIGNED AND EXECUTED THIS AGREEMENT ON THE DAY ABOVE MENTIONED.

WITNESS:

1.   
D. PANDU  
PRINCIPAL  
D.A. PANDU MEMORIAL  
D.V. DENTAL COLLEGE
2.   
K.S.S. Kamath  
GM - CBRWS  
Banashankari 2nd stage  
Bangalore 560-070

  
Reshtranya Sankalpa-Gamithi Trust,  
11 Block, Jayanagar, Bangalore-560011  
PARTY A

FBI CANARA BANK RELIEF & WELFARE SOCIETY  
  
PARTY B  
Hon. Secretary



Principal  
D.A.P.M.R.V. Dental College,  
J.P. Nagar 1 Phase,  
Bangalore - 560 078.



Aum Sri Sai Ram

## SRI SATHYA SAI ANNAPOORNA TRUST (R)

D-305, Sai Sannidhi, Sathya Sai Grama, P.O. Muddenahalli - 562101  
Chikkaballapur Taluq & District, Karnataka, India  
Phone: 080-26765623; Website: <http://annapoorna.org.in>

Date : 01.04.2017

### MEMORANDUM OF UNDERSTANDING

This Memorandum of Understanding is entered into between the **D.A.Pandu Memorial R.V Dental College (DAPMRVDC)** represented by the principal and **Sri Sathya Sai Annapoorna Trust** and its project which is located in No:D-305,Sai Sannidhi, Sathya Sai Grama , P.O. Muddenahalli - 562101,Chickballapur District , Karnataka represented by its Trustee.

D.A.Pandu Memorial R V Dental College & Hospital would work jointly with Sri Sathya Sai Annapoorna Trust and its Organization for Rural Health Development with its services across various villages as a **Satellite Centre** for its Dental Outreach Program. The team of dentists from DAPMRVDC will be providing oral health education, screening for oral diseases and treatment at village undertaken by Sri Sathya Sai Annapoorna Trust located at No:D-305,Sai Sannidhi, Sathya Sai Grama , P.O. Muddenahalli - 562101,Chickballapur District, and referrals, if any, will be directed to DAPMRVDC on a regular basis.

This MOU is valid for a period of **THREE** years from the date of entry into MOU and will be renewed after three years with mutual consent. Cancellation of MOU if any, with one months notice.

For Sri Sathya Sai Annapoorna Trust

  
Principal

D.A.Pandu Memorial R V Dental College

PRINCIPAL

D.A. PANDU MEMORIAL  
R.V. DENTAL COLLEGE

  
Trustee  
Trustee

Sri Sathya Sai Annapoorna Trust



Principal

D.A.P.M.R.V. Dental College  
J.P. Nagar I Phase,  
Bangalore - 560 078.

# 6) COLLABORATIONS



**DIVINE MOTHER AND CHILD HEALTH PROGRAMME**  
An initiative of Sri Sathya Sai Health and Education Trust  
Sathya Sai Grama Muddanshalli Chikkaballapur Dist - 562101



## MEMORANDUM OF UNDERSTANDING

Date: 04.07.2018

This Memorandum of Understanding has been agreed upon by D. A. Pandu Memorial R.V. Dental College (DAPMRVDC) represented by the principal and the Divine Mother And Child Health Programme (DMCHP) located in Sathya Sai Grama, Muddanshalli, Chikkaballapur Dist- 562101 represented by its co-ordinator - Dr Phaneendra.

D. A. Pandu Memorial R. V. Dental College A Hospital would work jointly with the Divine Mother and Child Health Programme for Rural Health Development with its services across various villages as a Satellite Centre for its Dental Outreach Program. The team of dentists from DAPMRVDC will be providing oral health education, screening for oral diseases and treatment at Govt schools in villages under the Divine Mother And Child Health Programme, an initiative by the Sri Sathya Sai Health and Education Trust.

This MoU is valid for a period of 5 years from the date of entry into the MoU, and will be renewed with mutual consent. Cancellation of MoU if mutually agreed, will be executed with one month's notice.

  
Principal

D. A. Pandu Memorial R. V. Dental College

  
Co-ordinator

Divine Mother And Child Health Programme



Principal  
D.A.P.M.R.V. Dental College  
J.P. Nagar 1 Phase,  
Bangalore - 560 078.

Mr Bharani Prasad M S  
+91 99105 82589  
bharani.prasad@vishalhasaniprakash.com

Dr. Phaneendra M S  
+91 9739233993  
drphaneendra.ms@vishalhasaniprakash.com

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Principal  
Dental College

SHOWA UNIVERSITY  
JAPAN  
SINCE 1928

**MEMORANDUM OF UNDERSTANDING**

Between D A PANDU MEMORIAL R V DENTAL COLLEGE & HOSPITAL  
and SHOWA UNIVERSITY SCHOOL OF DENTISTRY

This "Memorandum of Understanding" (MOU) is entered into on the MARCH 29TH day of 2019 by and between the D A Pandu Memorial R V Dental College and Hospital (DAPMRVDC) and Showa University School of Dentistry (SUSD). DAPMRVDC and SUSD agree to explore the possibility of developing the highest quality programs to advance the needs of DAPMRVDC and SUSD. DAPMRVDC and SUSD are hereafter collectively referred to as the "Parties".

**Introduction**

Both institutions recognise the following commonalities:

1. The compatibility of the direction and interest of their research and teaching
2. The significant roles that each play as leaders in higher education, teaching and research within their respective countries.

**Exploration of Collaboration**

DAPMRVDC and SUSD aspire to sign a MOU as a way to develop a collaborative relationship based on the following:

- The exploration of the development of educational exchanges, including student and faculty exchange.
- The exploration of the development of educational programs, including leadership development, program development and training courses.
- The feasibility of collaborating on the design, development and implementation of workshops and conferences.
- The exchange of academic materials and other information as feasible.

Through the individuals listed below, the parties aim to encourage contact and cooperation among their faculty members and departmental units. Both Parties agree to actively seek sponsorship from various local, national and international funding sources in support of such collaborative educational activities.

SHOWA UNIVERSITY  
JAPAN  
SINCE 1928

This MOU serves as an institutional framework for collaborative activities. Specific agreements would need to be drafted by the Parties in the case that the exploration of these areas leads to further developments. These agreements would need to be approved by the authorities at each institution. Nothing in the MOU shall be construed as creating any legal relationship between the Parties.


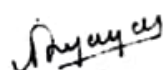
This MOU shall be binding the laws of land of the Parties. Both Parties understand the financial arrangements under this MOU would have to be negotiated and would depend on specific activities and availability of funds. Any expense incurred by either party would be subject to individual agreements on a case-by-case basis. The Parties also recognise that intellectual property terms would need to be included in subsequent agreements, as appropriate.

This MOU is non-exclusive and would become effective on the date of signing. The MOU could be renewed in writing every three years upon mutual consent of the Parties. The MOU may be reviewed in one and half years to assess the results.

This MOU may be amended or further developed by means of written consent on the part of the signatories or their designated representatives. The MOU may be terminated with at least ninety days advance written notice. Notices must be sent to the individuals and addresses indicated below:

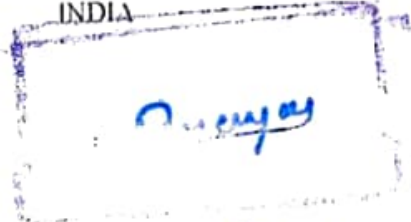
For DAPMRVDC

For SUSU



Dr. Asha R Iyengar  
Principal  
DA Pandu Memorial R V Dental College  
& Hospital  
J P Nagar 1<sup>st</sup> Phase, Bengaluru 560078,  
INDIA

Dr. Takashi Miyazaki  
Dean  
Showa University  
School of Dentistry  
1-5-8 Hatanodai Shinagawa-ku, Tokyo  
142-8555 Japan



Principal  
DAPMRV Dental College  
J.P. Nagar I Phase,



सत्यमेव जयते

Confidential

Unilever ref.no: MA-2019-01359

INDIA NON JUDICIAL

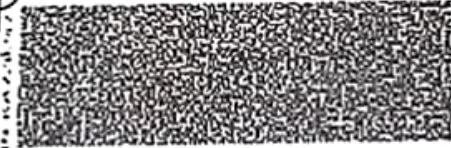
Government of Karnataka

e-Stamp

Certificate No. : IN-KA72924737791155R  
 Certificate Issued Date : 14-Aug-2019 12:08 PM  
 Account Reference : NONACC (FI)/ kacrsf08/ WHITEFIELD/ KA-BA  
 Unique Doc. Reference : SUBIN-KAKACRSFL0870157559593087R  
 Purchased by : UNILEVER INDUSTRIES PRIVATE LIMITED  
 Description of Document : Article 12 Bond  
 Description : MATERIAL TRANSFER  
 Consideration Price (Rs.) : 0  
 (Zero)  
 First Party : UNILEVER INDUSTRIES PRIVATE LIMITED  
 Second Party : D A PANDU MEMORIAL R V DENTAL COLLEGE  
 Stamp Duty Paid By : UNILEVER INDUSTRIES PRIVATE LIMITED  
 Stamp Duty Amount(Rs.) : 100  
 (One Hundred only).

सत्यमेव जयते

LAKSHMI VENKATESHWAR  
 Multipurpose Co-operative Society Ltd  
 # 92, Main Road, Opp. Vijaya Bank,  
 Behind Arun Fabrication Works, Whitefield,  
 Bangalore-56 Cont: 353139038



Please write or type below this line

MATERIAL TRANSFER AGREEMENT – MUTUAL

This AGREEMENT is:

Between: 1) Unilever, as further identified in the Agreement Details; and  
 2) Company, as further identified in the Agreement Details.

Each a Party and together the Parties.

Background:

A. Each Party may disclose Confidential Information to the other Party, for the Purpose, upon the terms stated here.  
 B. Each Party shall accept Confidential Information from the other Party, for the Purpose, on such terms.

Statutory Alert:

- The authenticity of the Stamp/Cred Code should be verified at [www.sho.stamps.gov.in](http://www.sho.stamps.gov.in). Any discrepancy in the details on this Certificate and as available on the website renders it invalid.
- Unilever 2017 checking the legitimacy is on the users of the certificate.
- In case of any discrepancy please inform the Competent Authority.

1 of 5

R&D

*Principals*

Principal

D.A.P.M.R.V. Dental College,

10, Nagar, I Phase,

Dental



Agreement Details

Effective Date	If no date is given here, then Effective Date is the date of last signature below.
Unilever	UNILEVER INDUSTRIES PRIVATE LIMITED, a company incorporated under the Indian Companies Act 1956 (Corporate Identity Number - U24110MH1994PTC081672) with its registered or primary business address at Unilever House, BD Sawant Marg, Chakala, Andheri (East) Mumbai - 400 099, Maharashtra, India, and represented for all obligations under this Agreement by Unilever Industries Private Limited, 64, Whitefield Main Road, Bangalore - 560 066, Karnataka, India
Company	D.A.Pandu.Memorial. R.V Dental College, with its registered or primary business address at CA-37, 24th Main, JP Nagar, ITI Layout, 1st Phase, Bengaluru, Karnataka 560078, India
Purpose	To discuss and source extracted human teeth samples towards Scientific understanding for Oral products of Unilever and its affiliates.

The PARTIES AGREE:



1. Definitions

Affiliate	In the case of the Company, means any corporation or entity controlling, controlled by or under common control, directly or indirectly, with the Company. In the case of Unilever, any companies and entities of the Unilever group controlled, directly or indirectly, by Unilever PLC in London (UK) and/or Unilever NV in Rotterdam (Netherlands).
Disclosing Party	The Party or any of its Affiliates disclosing Information to the other Party or any of its Affiliates, in accordance with this Agreement.
Receiving Party	The Party or any of its Affiliates receiving Information from the other Party or any of its Affiliates, in accordance with this Agreement.
Disclosure Period	A period of one (1) year from the Effective Date during which the Parties may disclose Confidential Information.
Confidentiality Period	Confidentiality obligations (stated in Section 2) start on the Effective Date and continue for 5 years from the expiration or termination of the Disclosure Period.
Notice	Written notice sent to a Party's address stated in Agreement Details or another address or email specified for communications between Parties.
Materials	Any products or compounds or compositions, packaging, samples, formulations or prototypes, all of which are experimental, which are provided by the Disclosing Party to enable testing and/or observation of those Materials for the Purpose.

*D. Pandu*  
Principal  
D.A.P.M.R.V. Dental College  
J.P. Nagar 1 Phase,  
Bangalore



Confidential

Confidential  
Information



Information means any business, financial, scientific and technical information including, samples, models, and prototypes in any form or format and is disclosed under this Agreement by or on behalf of Disclosing Party.

Information is Confidential Information under this Agreement if:

1. character or the circumstances of its disclosure should be reasonably understood to be confidential; or
2. it is designated as confidential or if it by virtue of its character or the circumstances of its disclosure should be reasonably understood to be confidential; or
3. it is Materials, and which are designated as confidential; or
3. it is about this Agreement (the existence of and terms of this Agreement).

But Confidential Information does not include any Information which the Receiving Party can prove by written records was:

- a. available to the public prior to receipt under this Agreement or subsequently becomes so available through no failure or default by Receiving Party or anyone who received such through the Receiving Party to comply with this Agreement; or
- b. known, without restriction, by the Receiving Party or its Affiliates prior to receipt under this Agreement or is subsequently disclosed, without restriction, to it by a third party having the lawful right to do so; or
- c. wholly independently developed by the Receiving Party or its Affiliates without use of or reference or access to the Confidential Information received under this Agreement.

Notwithstanding the above exceptions, the Receiving Party and its Affiliates must not disclose that they received that Information from the Disclosing Party.



## 2. Obligations - Confidential Information

The Receiving Party must, for the duration of the Confidentiality Period:

- a. Keep confidential all Confidential Information of the Disclosing Party and not disclose it to any third party except:
  - i. to the Receiving Party's and its Affiliates' employees, in-house contractors and contract service providers, who have a need to know this Confidential Information, provided that: (a) those employees, contractors and contract service providers have entered into written confidentiality and non-use commitments no less onerous than as set out in this Agreement; and (b) the Receiving Party is liable for their compliance with the terms of this Agreement; or
  - ii. with the Disclosing Party's written permission; or
  - iii. to the extent required by court order. In this circumstance, the Receiving Party shall give prompt Notice of the required disclosure to the Disclosing Party and collaborate to preserve the confidentiality of the Disclosing Party's Confidential Information.
- b. Not use the Disclosing Party's Confidential Information except solely for the Purpose.

- c. Not make or have made any analysis of any Materials received by it under this Agreement for the sole purpose of determining its chemical composition. However, this is not intended to prevent such analysis the Receiving Party reasonably believes necessary for the Purpose.
- d. Return or destroy Disclosing Party's Information in its possession if the Disclosing Party so requests in writing. However, the Receiving Party may retain one (1) copy of the Confidential Information in its legal archive.



### 3. Governance and Miscellaneous

3.1 **Limitations:** Unless stated in this Agreement or otherwise contractually agreed in writing, nothing in this Agreement will:

- 3.1.1 imply, create, grant or transfer any licence or permission in respect of Confidential Information or any intellectual property related to Confidential Information, except for the Purpose during the Disclosure Period. The Disclosing Party retains all ownership of Confidential Information and any intellectual property in it;
- 3.1.2 be construed as providing a commitment of any kind to enter into or modify any further agreement; accept or modify any obligation/liability or purchase any goods or services; or obliging a Party to enter into any further business relationship or commitment;
- 3.1.3 grant exclusivity with respect to the Purpose;
- 3.1.4 create an obligation to Receiving Party to share any results relating to Receiving Party's evaluation of the Confidential Information of the Disclosing Party.

3.2 **THE DISCLOSING PARTY WILL NOT BE LIABLE** for damages of any kind arising from or connected with the Receiving Party's use or reliance on Confidential Information.

3.3 **Amendments and Whole Agreement:** The Parties cannot modify this Agreement, except by the written agreement signed by both Parties. This Agreement sets out the entire understanding of the Parties regarding its subject matter. In entering into this Agreement, neither Party has relied upon related statements nor representations of the other Party except as stated in this Agreement.

3.4 **Modification of Disclosure Period:** Either Party may terminate the Disclosure Period by 14 calendar days' Notice to the other Party. Any termination is without prejudice to rights and obligations already accrued under this Agreement.

3.5 **Governing Law:** Without preventing either Party seeking interim injunctive or other relief as it considers appropriate, if a dispute arises in respect of this Agreement or any breach of or interpretation of the terms of this Agreement, the Parties will try to settle amicably within a period of 30 days from the date the dispute is raised by one of the Parties. In the absence of amicable settlement any issues which may arise out of this Agreement shall be governed by and construed in accordance with Indian law without regard to any choice of law principle that would dictate the application of the law of another jurisdiction, and the Indian courts shall have exclusive jurisdiction to settle any such dispute or issues.

This Agreement may be signed in counterparts, each of which when taken together are one and the same instrument.

*Principals*

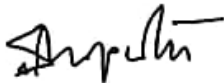
Principal  
M.A.P.M.R.V. Dental College,  
30 Nagar 1 Phase,  
Bangalore - 560 078.

AGREED:

For UNILEVER INDUSTRIES PRIVATE

LIMITED

Signature:



Print name: Dr Samiran Mahapatra

Job Title: R & D Director, Homecare and

Site Operations

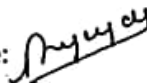
Date:

24/5/19

For D.A.Pandu.Memorial.R.V Dental

College

Signature:



Print name: Dr. Asha R Iyengar

Job Title: Principal

Date:

24/5/19



Principal  
D.A.P.M.R.V. Dental College  
J.P. Nagar I Phase,  
Bangalore - 560 078.

## MEMORANDUM OF UNDERSTANDING

This Memorandum of Understanding ("MoU") is made and executed on this 1<sup>st</sup> day of May 2019, at Bangalore

### **Between**

**RASHTREEYA SIKSHANA SAMITHI TRUST**, a public charitable trust set up under the laws of India and having its principal office at RV Teachers College Building, 2nd Block, Jayanagar, Bangalore-560011 (hereinafter referred to as '**RSST**', which expression shall, unless repugnant to the context thereof, mean and include its successors, administrators and permitted assigns) represented herein by its Honorary Secretary, Mr. A.V.S. Murthy of the **ONE PART**;

### **AND**

**ASTER DM HEALTHCARE LIMITED**, a company incorporated under the Companies Act, 1956, having its registered office at Kuttisahib Road, South Chittoor P.O, Cheranalloor, Kochi - 682027 (hereinafter referred to as "**Aster**", which expression shall, unless repugnant to the context thereof, mean and include its successors-in-interest and permitted assigns) represented herein by its authorised signatory Mr. Sreenath Reddy of the **OTHER PART**

### **Whereas:**

- A. RSST and Aster entered into a hospital operation and management agreement ("O&M Agreement") on the 25.2.2017 whereunder Aster agreed to operate and manage the hospital to be constructed by RSST on the Land.
- B. RSST runs a dental college in the name and style of "DAPM RV Dental College" on the Land.
- C. In terms of the O&M Agreement, Aster had undertaken to provide training at the Hospital Premises for academic purposes exclusively to the students of DAPM RV Dental college or any existing college /educational institution established by RSST in the future. Further, in terms of the O&M Agreement, RSST and Aster had agreed that any additional facilities/ requirements that have to be provided in the Hospital as per the regulations framed by the Dental Council of India ("**DCI**") will be provided in the Hospital in accordance with the regulations framed by the Dental Council of India and on the terms mutually agreed between the Parties.
- D. The Parties are entering into this MoU to set out the terms and conditions upon which Aster would provide training to the students of DAPM RV Dental College and to record the mutual understanding between the Parties in respect of the additional facilities/requirements that are required to be provided in the Hospital in accordance with the current regulations of the DCI.

### **NOW THIS MOU WITNESSETH AS FOLLOWS:**

#### **1. OBLIGATIONS OF ASTER**



Principal

D.A.P.M.R.V. Dental College

100, 1st Phase

- 1.1 Aster agrees to provide training to the students of DAPM RV Dental College at the Hospital in accordance with the regulations of DCI. The syllabus, the number of hours, timings of each training module and other particulars of the training to be imparted by Aster at the Hospital is morefully detailed in Annexure A hereto.
- 1.2 Aster shall identify minimum of 100 (one hundred) beds at the Hospital and shall ensure that it meets the DCI norms at all times.
- 1.3 Aster shall actively cooperate with the DCI by providing information or access to the Hospital in the event of any inspections undertaken by the DCI.
- 1.4 Aster may provide the medical facilities and treatment as indicated in Annexure B hereto for patients of DAPM RV Dental College. Aster will be entitled to charge such patients for the treatment availed by them and the facilities provided to them at the Hospital.

## **2. OBLIGATIONS OF RSST**

- 2.1 RSST shall immediately notify any rules and regulations framed by the DCI applicable to the Hospital or any changes thereof.
- 2.2 RSST shall ensure that its students maintain decorum and adhere at all times with the rules framed by the Hospital administration from time to time in relation to etiquette, cleanliness, patient privacy, etc..
- 2.3 RSST shall make available details of its students who undergo training at the Hospital and also data relating to the patients of DAPM RV Dental College who also avail of treatment at the Hospital.
- 2.4 RSST shall ensure that that the students carry their ID Cards at all times on the Hospital premises.
- 2.5 In case of any damage caused to the medical equipment and infrastructure at the Hospital by the students or patients of DAPM RV Dental College, RSST shall compensate Aster for the same.

## **3. MUTUAL COVENANTS**

- 3.1 Aster is entitled to invoice patients for the treatment and other medical services availed by them from the Hospital. Similarly, RSST shall be entitled to invoice and collect treatment and other charges from the patients of DAPM RV Dental College. Each Party agrees not to invoice or collect charges for the treatment and other medical services availed by patients from the other Party.
- 3.2 Each Party will nominate one of its personnel in order to coordinate with the other Party in respect of matters covered under this MoU.

## **4. TERM**

*[Handwritten Signature]*  
D.A.P.M.R.V. Dental College  
V.P. Nagar  
Bangalore



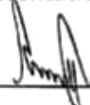
*[Handwritten Signature]*  
of Bangalore

The term of this MOU shall come into force on the date of execution hereof. This MOU will be co-terminus with O&M Agreement and shall terminate upon the termination of the O&M Agreement.

- Capitalized terms used in this Agreement shall have the meaning ascribed to them under the O&M Agreement.
- Any Disputes under this MoU will first be referred to the Hospital Advisory Committee. If any Dispute cannot be resolved by the Hospital Advisory Committee, the procedure detailed in Clause 17.5 of the O&M Agreement shall apply.

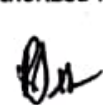
IN WITNESS WHEREOF, the parties hereto have caused this MOU to be executed in duplicate as of the date written above by their duly authorized representatives.

By Rashtreeya Sikshana Samithi Trust by its authorized representative

  
Name: A.V.S. Murthy  
Honorary Secretary, RSST




By Aster DM Healthcare Limited by its authorized representative

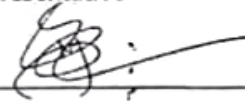
  
Name: Sreenath Reddy  
CFO, Aster Group


Confirming Witnesses:

By DAPM RV Dental College by its authorized Representative

  
Name : Dr. Asha Iyengar  
Principal, DAPM RV Dental College  
**Principal**  
D.A.P.M.R.V. Dental College  
J.P. Nagar I Phase,  
Bangalore - 560 078.

By Aster RV Hospital by its Authorised Representative

  
Name : Dr. Prashanth  
COO, Aster RV Hospital  
**Dr. Prashanth. N.**  
CHIEF OPERATING OFFICER  
ASTER RV SUPER SPECIALITY HOSPITAL  
CA-37, 24th Main, 1st Phase  
J.P. Nagar Bangalore - 560 078

  
Principal  
D.A.P.M.R.V. Dental College  
J.P. Nagar I Phase,  
Bangalore - 560 078.

Regulations: DCI BDS Regulations 2007.

**1. 3<sup>rd</sup> year BDS students:**

- Total No of students per annum: 60
- No of Batches: 2
- Clinical training: approximate 2 no's/week of 2 hours each for each batch
- Minimum annual Clinical Hours for following subject of study:

Subjects	Clinical Hours
General Medicine	90
General Surgery	90

- **Training Syllabus:** Syllabus is as prescribed by DCI.
- **Training Module:**

The students must be able to take history, do general physical examination (including build, nourishment, pulse, BP, respiration, clubbing, cyanosis, jaundice, lymphadenopathy, oral cavity) and be able to examine CVS, RS and abdomen and facial nerve.

- **During University Examination:**

Aster has to allow Students during the University Practical / Clinical examination for chair side patient evaluation by students as a part of the Practical / Clinical examination in General Medicine & General Surgery .

**2. MDS students In Oral & Maxillofacial surgery :**

- No of students per annum: 6
  - Areas of Training: 10 minor and 2 major surgeries/ week.
  - Training Module of Oral & Maxillofacial Surgery students:  
Training in accordance with Dental Council of India Regulations 2007 with the objective to achieve skills to treat both surgically & medically or by other means of the oral & maxillofacial and related areas in Oral & Maxillofacial Surgery
- a. To provide facilities for training of these MDS students In Surgical skills and operative procedures for maxillofacial surgery patients in the areas of :
- Reconstructive oral and maxillofacial surgery
  - Maxillofacial trauma
  - Assessment of trauma multiple injuries
  - Orthognathic surgery
  - Cleft lip and palate surgery
  - Aesthetic facial surgery
  - Craniofacial surgery
  - Head and neck oncology
  - Micro vascular surgery.
- b. Assistance of faculty of Oral and Maxillofacial department of DAPMRVDC will be provided



Principal  
D. J. Nagar  
J.P. Nagar  
Bangalore

**ANNEXURE -B**

1. Aster can provide medical facilities & treatment for patients of DAPM R V Dental College and Hospital
2. These patients may avail Medical facilities involving investigations such as imaging procedures which include conventional as well as advanced Imaging, Laboratory and Hematological investigations.
3. To provide facilities for hospitalization and emergency services for patients treated by maxillofacial surgery department of DAPM R.V.Dental College and Hospital. These may include cases of maxillofacial trauma and also the major surgical cases of Oral and Maxillofacial related areas requiring surgical and medical care and hospitalization.
4. For all the Medical care provided by Aster, Aster can charge the patients as per their norms and collect the charges directly from the patients.



*[Handwritten signature]*

*[Handwritten signature]*

Pr.

D.A.P.M.R.V. Dental College  
J.P. Nagar I Phase,  
Bangalore - 560 078.



## INVISALIGN® UNIVERSITY PROGRAM INDIA

The Invisalign University Program India agreement ("Agreement") shall be effective from 1<sup>st</sup> October, 2020 ("Effective Date") and sets forth the mutual agreement of Invisalign India LLP ("Align") and DAPM RV Dental College Bangalore ("University") (together the "Parties," and individually a "Party").

**Whereas:** Align and its affiliates have developed an orthodontic technique utilizing a series of clear polymer, removable appliances, commonly referred to as aligners, that move teeth in small increments from their original state to a more ideal treated state ("Invisalign System"). Align and its affiliates have also developed ClinCheck software, a proprietary system for treating malocclusions, or the misalignment of teeth which depicts the patient's tooth movement from the beginning stage to the final position;

**Whereas:** University provides specialty training programmes in clinical orthodontics;

**Whereas:** University desires and Align has offered to provide educational items, services and support as set forth below (the "Invisalign University Program").

The Parties hereby agree as follows:

1. This Agreement will be in effect for one year from the Effective Date of this Agreement.
2. The purpose of this Program is to help educate University residents and faculty about straightening teeth with clear aligner therapy, allow University residents and faculty to evaluate the Invisalign System, and build the clinical confidence of University residents and faculty in understanding how clear aligner therapy may be used in dental practices.
3. The items, services and support provided by Align pursuant to this Agreement are not tied to or based on any past or potential future purchase, lease, recommendation, use, arrangement for purchase, or prescription of Align's products, including "Invisalign" aligners ("Products").
4. University will select the patients required for supervised treatment who are individuals from the wider University student or faculty population who are not family or friends of Invisalign University Program organizers or staff or University residents enrolled in the Masters or PhD Programs, and who provide their written consent as set forth herein ("Program Patients").
5. University must obtain all consents necessary for Program Patients, including but not limited to the necessary consents for (i) the Program Patients to undergo Invisalign treatment provided by the University residents and faculty who have been successfully completed the Invisalign courses and (ii) the collection and use of any personal data of the Program Patients by a University resident and/or the University as well as the use and disclosure of such personal data by, and to, Align under this Agreement.
6. During this University Program, each University resident shall collect initial records, 6 month progress reports, and final records (including but not limited to a minimum of extra and intra oral photographs, study models, and appropriate radiograph) at a quality level that can be used for presentation or publication purposes (the "Program Records"). Programme Records shall be sent to Align and may also be disclosed to Align's related subsidiaries. University hereby provides authorization for Align's use, on an anonymised basis, of such Programme Records without compensation to University or the Program Patient at its sole discretion for (i) educational and/or research and development purposes, (ii) orthodontic or dental consultations, (iii) publication in professional journals, books, or Align learning and marketing collateral. Prior to the release of Program Records to Align, University shall obtain Program Patients' signed and informed consent in respect of Align's processing of their personal information using the Program Patient Consent Form attached as Schedule 1. All completed Program Patient Consent Forms shall be sent to the local Align Clinical Department. The University warrants that all personal information contained in the Programme Records provided to Align has been obtained with the Programme Patients' informed consent.

7. University must comply with the privacy and data protection laws applicable to it when collecting, holding, using, storing, processing personal data relating to Program Patients, including but not limited to the processing of personal data involved in the collection and transfer of Program Records.
8. University will receive one University Account Number. University residents within the Master Programme who have been Resident Invisalign Trained may only submit his/her own Programme Patients' treatments under the University Account (not the University resident's personal DID). Programme Patients must not be transferred from this programme, or disclosed, to other doctors outside of this programme. Doctors outside of this programme may not submit treatments under the University Account. Treatments cannot be combined from separate accounts and only count for the University Account Number which they are submitted. Align reserves the right to withdraw the University Account Number and any Align user name and passwords it issues to University, University teaching faculty, or University residents for any reason, including if Align is not satisfied that the training end-points or competencies have been met within this Program.
9. An "Educational Evaluation Invisalign Treatment Samples" is one of the following treatment submissions submitted under the University Account Number that has been submitted with all Required Records, as defined below, and has been ClinCheck approved during the term of this Agreement: (i) Invisalign Comprehensive Treatment; (ii) Invisalign Moderate Treatment; (iii) Invisalign Lite Treatment; and (iv) Invisalign ExpressTreatment.
10. University will be provided ten (10) Educational Evaluation Invisalign Treatment Samples during the term of this Agreement for educational use. University agrees to not charge patients the Invisalign product costs associated with these Educational Evaluation Invisalign Sample Treatments.
11. Upon request from the University resident, Align agrees to provide each University resident completing the Masters Programme including the Invisalign Orthodontics Training Course or the Invisalign Fundamental Course, as applicable, an Align user name and password entitling them to use the Invisalign System in private practice subject to points (i) to (v) below and subject to Align's applicable commercial terms (as amended by Align from time to time):
  - (i) The practice with the Invisalign System is limited only to countries that Align is officially making sales of Invisalign and where the University resident is licensed to practice.
  - (ii) If University resident will be returning to practice in a country where Invisalign is not offered, they will not receive an Align username and password and will not be deemed Invisalign trained for private practice.
  - (iii) If University resident will return to a country where Invisalign is offered through a distributor only, they must abide by the distributor's policies and will be awarded an Align user name and password only through distributor's policies.
  - (iv) The offer is subject to the then current Pricing Terms & Conditions for Invisalign for each order placed and as amended by Align from time to time.
  - (v) University residents are free to use whichever clear aligner they wish to use in practice.
12. Align reserves the right to withdraw the University Account Number and any Align user name and passwords it issues to University, University teaching faculty, or University residents for any reason and at any time, including if Align is not satisfied that the training end-points or competencies have been met within this programme.
13. University agrees to carry out its responsibilities in respect of the Invisalign University Program with all due professionalism, care, skill and diligence, in a timely manner, in compliance with applicable regulatory requirements and professional codes, including all applicable national industry codes, data privacy laws, anti-bribery laws, Align's FCPA and Bribery Policy attached as Schedule 2, and in compliance with all proper instructions from Align. Further, University undertakes to ensure that all healthcare professionals involved in the Invisalign University Program have the appropriate qualifications and expertise, and comply with all applicable rules and guidelines. University agrees to conduct any and all necessary procedures or obtain any

required licenses, permits, approvals, registrations or the like, in order to allow the Parties to enter into and legally perform this Agreement.

14. University undertakes not to engage in any off-label discussions of Align Products or to represent the Products as having uses or benefits outside those provided for in the instructions for use.
15. University is solely responsible for the funding arrangements for the Invisalign University Program. Align's support is limited solely to the provision of the items, services and support to be provided by Align pursuant to this Agreement.
16. University agrees that, on reasonable prior notice, Align (or its nominated representative) may attend and enter any premises on which University administers the Invisalign University Program to audit University's compliance with this Agreement, and University shall provide all reasonable cooperation in connection with such audit (including by providing Align or its nominated representative with access to any computer systems used to administer the Invisalign University Program). In conducting such audit, Align shall use reasonable endeavours to minimize any interruption to University's affairs and shall at all times preserve the confidentiality of any records or information to which it may have access by virtue of such audit.
17. The items, services and support to be provided by Align pursuant to this Agreement is strictly for the purposes of education for the Invisalign University Program, and not for any other purpose.
18. All intellectual property, including all patents, trademarks, service marks, domain names, registered designs, utility models, design right, database rights, copyright (including copyright in software and computer algorithms), trade secrets and other confidential information, know-how, and all other intellectual and industrial property and rights of a similar or corresponding nature in any part of the world, whether registered or not or capable of registration or not and including the right to apply for and all applications for any of the foregoing rights, the right to claim priority, the right to sue for past infringements and common law or equitable remedies in respect of any of the foregoing rights, and any renewals, extensions or restorations, and divisional, continuation and reissued applications of the foregoing rights, together with rights to sue for unfair competition or for passing off, including in respect of past activities ("Intellectual Property") (and "Intellectual Property Rights" means rights, title and interest in such Intellectual Property), owned by Align prior to and after the date of this Agreement other than any Intellectual Property Rights arising from the Invisalign University Program are and shall remain the property of Align.
19. All Invisalign University Program Materials, defined below, all Intellectual Property Rights arising from or relating to the Invisalign University Program, and the Invisalign University Program Materials are the property of Align.
20. University hereby assigns, and shall ensure that the University faculty, residents, employees, affiliates, or contractors of University assign all Intellectual Property Rights arising from or relating to this Agreement, to Align (or its nominee) and University shall execute, and shall ensure that University faculty, residents, employees, affiliates, or contractors of University executes, all such documents and do all such other acts as Align may reasonably require in order to vest fully and effectively all such Intellectual Property Rights in Align or its nominee.
21. University agrees to treat as strictly confidential and not to disclose any and all information, data, materials, presentations, handouts, photos, ClinCheck software, patient information, research, training, or material of any nature belonging to Align which University may receive or obtain in connection with this Agreement, the release of which is likely to prejudice the commercial interests of Align ("Align Confidential Information") to anyone other than those University faculty, residents, employees, affiliates, or contractors of University who need to know such Align Confidential Information for the purposes set forth in this Agreement and who have entered into binding obligations of confidentiality substantially similar to the obligations set forth herein, save where disclosure is required by a government agency, regulatory authority or by law. If University is required to make a disclosure it shall inform Align within a reasonable time prior to being required to make the disclosure.
22. Recording, copying, photographing, or any other reproduction or publication of any documentation, information and materials furnished by Align, including any training content provided by a lecturer or any Align designee in connection with the training courses or Invisalign University Program as well as all training materials, reports or

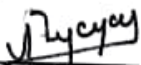
*D. Nagaraj*  
Principal  
D.A.P.M.R.V. Dental  
J.P. Nagar 1 Ph

other documents or materials created by the University, University residents or any designee, Programme Records, Align Confidential Information, or other materials referring to Align's trademarks or including any other Align Intellectual Property Rights is prohibited without the prior written approval of Align.

23. Align hereby grants University permission to use Align's trademarks and copyrighted material for the purposes set forth in this Agreement for the Term, provided that use is pre-approved in writing by Align and complies with Align's online Art and Marketing Standards (as amended by Align from time to time).
24. Align may upon written notice, with immediate effect, terminate this Agreement, terminate the Invisalign University Program in whole or in part, modify the Invisalign University Program, or add or remove products that qualify for the University Program, require University to stop accepting further University residents and faculty into the Invisalign University Program, or require University to disallow a University resident or faculty to participate in the Invisalign University Program at any time.
25. University assumes all responsibility for all costs, any claim, action, demand, proceeding, complaint or other similar action ("Claim") and losses of whatsoever nature, including costs (whether internal and/or external costs), damages, payments, penalties, interest, fines and compensation, howsoever they might arise, whether as a result of a tort (including negligence), breach of contract, breach of statutory duty (including any breach of privacy laws) or misrepresentation and shall include all reasonable professional fees and expenses ("Losses") associated with the items, services and support provided by Align pursuant to this Agreement, including but not limited to all taxes and other payments due.
26. Without prejudice to any other limitation of Align's liability, Align shall not in any circumstances be liable (whether in contract, tort or for breach of statutory duty, misrepresentation or otherwise) for (i) any loss of profits, which are indirect or consequential losses; or (ii) any loss of use, opportunity, goodwill, business or anticipated savings; or (iii) any indirect or consequential losses; in each case, regardless of whether such loss or claim was foreseeable or not or whether Align has been informed of the possibility of such loss. Nothing in this clause shall however operate to limit or exclude any liability for fraud.
27. Nothing contained herein is intended to, nor will it create, the relationship of partnership, joint venture, agency or employment between the Parties. University will not have the right or power to bind Align to any contracts or agreements with any third party, nor will the University have the right or power to direct any operations of Align.
28. No failure or delay by Party to exercise any right, power or remedy provided under this Agreement or by law shall constitute a waiver of that or any other right, power or remedy, nor shall it preclude or restrict the further exercise of that or any other right, power or remedy. No single or partial exercise of such right, power or remedy shall preclude or restrict the further exercise of that or any other right or remedy. No waiver of any breach of this Agreement shall be deemed to be a waiver of any subsequent breach.
29. University may not assign or sub-contract any or all of its rights and obligations under this Agreement without the prior written consent of Align.
30. No term of this Agreement is enforceable by a person who is not a Party to this Agreement and the Parties may exercise, without the consent of any third party, any rights they may have to amend or rescind this Agreement.
31. No variation of this Agreement shall be effective unless in writing and signed by or on behalf of each of the Parties.
32. This Agreement and any dispute or claim arising out of or in connection with it or its subject matter or formation (including non-contractual disputes or claims) ("Dispute") shall be governed by and construed in accordance with the laws of India. The Parties agree that the courts of Mumbai shall have jurisdiction over any Dispute.
33. Rights and obligations under this Agreement, which by their nature should survive, will remain in effect after termination or expiration hereof. If any provision of this Agreement is held to be contrary to law or invalid, such provision shall be changed and interpreted to best accomplish the objective of the original provision and the remaining provisions shall remain in full force and effect.

34. This Agreement supersedes any previous written or oral agreement between the Parties in relation to the matters dealt with in this Agreement and constitutes the entire agreement between the Parties with respect to the subject matter of this Agreement at the date of this Agreement.
35. This Agreement may be executed in counterparts, each of which so executed shall be deemed to be an original and such counterparts together will constitute one and the same instrument. The Parties have caused this Agreement to be signed by their duly authorized representatives.
36. This Agreement will be executed in the English language. If there is any conflict, discrepancy, or ambiguity between the English language version and a translated version, the English language version shall prevail and the translated version of this Agreement will be amended accordingly to reflect the context in the English version.

UNIVERSITY

Signature 


Print Name \_\_\_\_\_

**Dr. Asha R. Iyengar**

Title Principal


**DA Pandu Memorial R.V. Dental College  
J P Nagar 1st Phase, Bangalore - 560 078.**

ALIGN

Signature 

Print Name Saurabh Nanda

Title Commercial Director

  
Principal  
D.A.P.M.R.V. Dental College  
J.P. Nagar 1st Phase

**Schedule 1— Align Program Patient Consent Form**

**NOTICE AND CONSENT TO ALIGN'S USE OF INFORMATION ABOUT MY INVISALIGN® TREATMENT**

Thank you for considering treatment with Invisalign, the clear aligner manufactured by Align Technology, Inc.

Align Technology, Inc. is a global medical device company which produces Invisalign as well as 3D digital scanning products, technology and services.

Align Technology, Inc.'s subsidiary, Invisalign India LLP, ("Align") is partnering with RV dental college, Bangalore ("University") to run a training Program for students at University who are learning to use clear aligner orthodontic treatments. As part of this training Program, University will disclose and transfer personal information about its patients undergoing these treatments to Align.

This document describes the types of personal and health information that University will disclose and transfer to Align and what Align will do with that information. Align handles personal information in accordance with the local privacy laws.

Please take your time to read the document carefully and to make an informed decision about whether you wish to provide consent to allow University to disclose and transfer your Patient Information (defined below) to Align and related parties (as provided below, "Align and its affiliates"), and for Align and its affiliates to use your Patient Information (as provided below). You may discuss your decision with your friends and family. You can also discuss it with your health care team. If you have any questions, you can ask your dentist to explain more about the treatment and/or Align's role in your treatment. You have the right to refuse consent to the University's collection, use or transfer of your Patient Information and Align and its affiliates' use of your Patient Information. However, if you do not consent to the collection, use or transfer of your Patient Information in accordance with this Align Patient Consent Form, you will be unable to receive treatment under the Program.

If you understand and agree with the statements below, please sign and date the document at the point indicated.

I agree and consent as follows:

1. I acknowledge that University will collect (i) my full name and address (general personal information) and (ii) information about my treatment or proposed treatment with Invisalign product(s), including extra and intra oral photographs, x-rays, appropriate radiograph, impressions or plaster models of my teeth and my medical data (sensitive information, collectively "Patient Information") and use Patient Information for the purpose of (i) educational and research purposes, (ii) orthodontic and dental consultants, and (iii) publication in professional journals, books, and collateral.
2. I explicitly consent to my Patient Information being collected by University and disclosed and transferred to Align Technology, Inc, its representatives, successors, assigns, agents, and subsidiary companies in the USA, Costa Rica, Mexico, Singapore, or other countries abroad. Specifically, all Align entities to whom my Patient Information will be transferred are Invisalign India LLP, Align Technology, Inc., Align Technology, B.V., Align Technologies de Mexico. S. de RI de CV, Invisalign Australia Pty Ltd, Align Technology De Costa Rica, ICA Ortho Services Pte Ltd. (collectively, "Align and its affiliates". Specific information on these affiliates can be found at [www.aligntech.com](http://www.aligntech.com)) so that Align and its affiliates can:
  - 2.1 use my Patient Information to provide such support as may be required by University from Align in relation to my treatment or proposed treatment with Invisalign products; and
  - 2.2 use my Patient Information on an anonymised basis for: (i) educational and/or research purposes, (ii) publication in professional journals or books, promotional materials, or in other advertising mediums, and/or (iii) providing to regulatory authorities and competitors anywhere in the world if they request data to substantiate the claims that Align makes about Invisalign treatment.

Principal  
RV Dental College  
J.K. Nagar I  
Bangalore - 560 078.

*Dr. Arjun*

3. I acknowledge that use of my Patient Information is without payment to me and I agree to waive any future claim to financial benefit in respect of my Patient Information.
4. I understand that University will retain and use my personal information for a period of 10 years. I also understand that Align and its affiliates will retain and use my Patient Information for a period of 10 years.
5. I understand that if I decide to withdraw from my treatment with Invisalign product(s), my Patient Information, including any treatment data collected from me prior to my withdrawal, may still be retained for an appropriate period necessary to fulfil the purposes of section 2 above and may still be used for the purposes of section 2 above after I withdraw from such treatment, with in the time period specified in section 4 above.
6. I understand that I have the right to access my Patient Information and to ask for correction as allowed by applicable privacy laws. I further understand that I have the right to withdraw my consent to use my Patient Information, including data collected from me prior to my withdrawal of consent. In order to exercise this right, I can contact Align as indicated in the Contact Us section (below).
7. A photo copy of this Align Patient Consent Form shall be considered as effective and valid as an original.
8. I confirm that I have read, understand and agree to the terms in this Align Patient Consent Form. I was given time and opportunity to ask questions about my treatment and all my questions were answered to my satisfaction. I understand that I will be given a copy of this signed and dated Align Patient Consent Form.

<input type="checkbox"/>	I understand the explanation on the collection and use of my General Personal Information and I hereby agree thereto.
<input type="checkbox"/>	I understand the explanation on the transfer of my General Personal Information to Align and its affiliates and I hereby agree thereto.
<input type="checkbox"/>	I understand the explanation on the collection and use of my Patient Information and I hereby agree thereto.
<input type="checkbox"/>	I understand the explanation on the transfer of my Patient Information to Align and its affiliates and I hereby agree thereto.

Signature \_\_\_\_\_

Witness \_\_\_\_\_

Print Name \_\_\_\_\_

Print Name \_\_\_\_\_

Address \_\_\_\_\_

Date \_\_\_\_\_

If signatory is under 14, the parent or Legal Guardian must also sign below to signify agreement:

Signature of Parent/Guardian \_\_\_\_\_

Address, if different from Child's \_\_\_\_\_

*[Signature]*  
Principal  
R.V. Dental College,  
J.P. Nagar I Phase,  
Bangalore - 560 078

## Schedule 2 – FCPA and Bribery Policy

The current version of Align's:

- Code of Business Conduct and Ethics; and
- Code of Business Conduct and Ethics – Introduction Amendment

can be found here: <http://investor.alliantech.com/corporate-gov>

### Align's Global Anti-Corruption Policy

The current version dated September 6, 2019 is as follows:

At Align, we are committed to maintaining high standards of integrity, and will conduct every aspect of our business in compliance with all applicable laws and regulations. Align competes lawfully and ethically in the marketplace and expects every employee, officer, and director (together, "Colleagues") and Third Party to conduct all aspects of our business with integrity. We are committed to conducting our business in compliance with all applicable domestic and international anti-corruption laws and regulations, including the U.S. Foreign Corrupt Practices Act ("FCPA"), the U.K. Bribery Act, and other anti-corruption and anti-bribery laws applicable to Align (collectively, "Applicable Anti-Corruption Laws").

Align prohibits its Colleagues and Third Parties from providing, offering or promising to provide, or authorizing the provision of money or anything of value to a Health Care Professional ("HCP"), Government Official, Close Family Member of a Government Official, or any other person in order to improperly influence the recipient or to gain an improper advantage. Align prohibits all forms of bribery, whether involving a Government Official or a person in the private sector; whether direct or through a Third Party. The Company also prohibits all Colleagues and Third Parties from requesting, seeking, or accepting bribes or kickbacks. Bribery is never an acceptable business practice and will not be tolerated by Align.

A Third Party is any individual or entity who is not employed or owned by Align, but who is retained by the Company to provide goods or services, or engage in business on behalf of Align, such as sales agents, distributors, consultants, professional services providers, lobbyists and regulatory intermediaries.

No Third Party acting on behalf of Align may engage in any conduct that would be prohibited if undertaken by a Colleague of Align, whether using Align funds or its own; whether acting directly or through another individual or entity.

**No Align Colleague or Third Party may ever:**

- **Offer, promise, pay, or authorize an offer or payment of money or anything of value to a Government Official, HCP, or any other person or entity, directly or indirectly, which is:**
  - o Intended to corruptly influence any action (or failure to act) or decision in the recipient's official capacity or in violation of the recipient's duty;
  - o Intended to corruptly induce the recipient to use influence to affect any act or decision of the entity involved;
  - o Intended to corruptly secure any improper advantage in order to assist Align in obtaining or retaining business; or
  - o Intended as gratitude for the recipient having made a decision or acted in a way that benefited Align improperly.
- **Request or accept any money or item of value, directly or indirectly, which is:**
  - o Intended to corruptly influence the judgment or conduct of the recipient, whether to take an action, fail to act or to use his or her influence in his or her job responsibilities; or
  - o Intended as gratitude for having made a decision or acted in a way that improperly benefited the person or entity giving the item of value to the recipient.

**Be Aware**

Principal  
J.P. Nagar  
Ramesh Nagar



Bribery and corruption comes in many forms—not just cash. "Anything of value" can include (but is not limited to):

- gifts, entertainment, meals, or travel;
- in-kind contributions and/or services;
- business, employment, or investment opportunities;
- uncompensated or discounted use of Align services, facilities, equipment, or property;
- contributions (including discounted products or salvage goods);
- charitable contributions, even to bona fide organizations; and
- assistance to, or support of family members and friends.

## APPLICABLE ANTI-CORRUPTION LAWS

Depending on the activity and location, Align and its officers, directors, employees and Third Parties may be subject to Applicable Anti-Corruption Laws such as the U.S. Foreign Corrupt Practices Act, and the U.K. Bribery Act. Each person and entity acting on behalf of Align is expected to know and abide by all Applicable Anti-Corruption Laws and this Anti-Corruption Policy. If there is an inconsistency between the requirements of various Applicable Anti-Corruption Laws the most restrictive standard should be applied.

### The U.S. Foreign Corrupt Practices Act (FCPA)

- The FCPA is a U.S. federal law that prohibits making, promising, offering or authorizing a payment or providing anything of value to a Government Official to corruptly influence an official act by that person or secure any other improper advantage in order to obtain or retain business.
- The FCPA also requires publicly traded companies, including Align, to keep accurate financial books and records, and maintain adequate internal controls to prevent and detect improper payments to Government Officials.
- Violations of the FCPA can result in criminal and civil punishment for Align, as well as fines and potential imprisonment for individuals involved in the misconduct.

## BRIBERY OF GOVERNMENT OFFICIALS

Align and any Third Parties employed by or affiliated with Align are prohibited from giving, promising, offering, or authorizing, directly or indirectly, an Improper Payment to a Government Official or Close Family Member of a Government Official, or to any other person at the request of a Government Official or with the Government Official's assent or acquiescence. For the purposes of this Anti-Corruption Policy, the term Improper Payment includes a broad range of corrupt payments of money or anything of value or any advantage (which does not need to be financial) made or given in order to influence favorably some decision affecting Align's business, obtain an improper advantage, induce or reward improper performance, for the personal gain of an individual, or where the payment or advantage itself is improper.

Align also prohibits any Improper Payment, promise, offer, or authorization of the giving of an Improper Payment to anyone while knowing it will be given to or shared with a Government Official, Close Family Member of a Government Official, or other designee.

For purposes of this Policy and the Guidebook, a *Government Official* includes:

- any officer or employee of any national, regional, local, or other government or any department or agency of such a non-U.S. government, including any elected or appointed official (e.g., a member of a ministry of health);
- any officer or employee of a company or enterprise owned or controlled by or performing a function of a government (e.g., a dentist or other HCP employed by, practicing with, or acting on behalf of a healthcare entity or agency owned, controlled or operated by a non-U.S. government body, such as public hospitals, clinics or state universities);
- any political party, non-U.S. political party official or candidate for non-U.S. public office at any level;
- any officer or employee of a public international organization (e.g., the World Bank, the World Health Organization, the United Nations, or the International Monetary Fund);
- any member of a royal family or member of the military;

- any individual acting in an official capacity for or on behalf of any of the above categories (whether paid or unpaid); and
- any individual otherwise categorized as a *Government Official* under applicable local laws or Align Policy.

This means that orthodontists, dentists, nurses, or other *HCPs* who are employed by, teach or have privileges at a government healthcare facility or public university are considered *Government Officials* for purposes of this Policy, even if their work is part-time. Interactions with *Government Officials* (including *HCPs*) are subject to specific rules and regulations, the violation of which could result in significant penalties and consequences to Align and our Colleagues.

For the purposes of this Policy, *Close Family Member of a Government Official* includes any spouse, partner, parent, grandparent, sibling, child, niece, nephew, aunt, uncle, cousin, or any other individual that shares the same household with a *Government Official*.

Align, our Colleagues, and Third Parties should never make or offer *Improper Payments to Government Officials*. Individuals may be held personally liable for violations of the *Anti-Corruption Laws* and may be subject to disciplinary action, including termination, by Align.

### COMMERCIAL BRIBERY

Align prohibits its Colleagues and *Third Parties* from engaging in commercial bribery, which involves attempts to improperly influence the actions of another party to secure an improper advantage from his or her employer's or principal's commercial conduct. This can be done by offering or providing a benefit to someone who is not a *Government Official* without the knowledge of that person's employer or principal.

The following examples could constitute commercial bribery:

- Concealed incentives, commissions, or kickbacks given to a private HCP to improperly influence his or her actions;
- Cash payments, lavish or inappropriate gifts, entertainment, meals and/or travel offered to influence a HCP to purchase Align products;
- Uncompensated use of Align property not authorized by the Company; and
- Business, employment or investment opportunities, donations, contributions, or loans (or loan guarantees or other extensions of credit) offered to a HCP to improperly influence sales of Align products.

### Prohibitions on Commercial Bribery

- Some *Anti-Corruption Laws*, including the U.K. Bribery Act and China's Anti-Unfair Competition Law and Criminal Law, prohibit the giving or receiving of a bribe, corrupt payment, or improper benefit in commercial transactions (i.e., not involving *Government Officials*), including with distributors.
- Though not a part of the FCPA, enforcement authorities in the U.S. have utilized the U.S. Travel Act and other state and federal laws to prosecute companies and individuals who engage in commercial bribery.

Similarly, other U.S. laws, such as the *Anti-Kickback Statute ("AKS")*, prohibit offering anything to influence a person's recommendation or purchase of a healthcare product or service that is reimbursed by a federal healthcare program.

### ASKING FOR OR ACCEPTING IMPROPER ADVANTAGES

No Align Colleague or *Third Party* may solicit or accept an improper advantage from any *Third Party*, including Align *HCPs*. This prohibition includes any request for or acceptance of any money or item of value, directly or indirectly, which is:

- Intended to influence corruptly the judgment or conduct of the Colleague, whether to take an action, fail to act or to use his or her influence in his or her job responsibilities; or
- Intended as gratitude for having made a decision or acted in a way that benefited Improperly the person or entity giving the item of value to the Colleague.

All such offers must be refused and reported to the Colleague's supervisor/manager and Compliance. Infrequent Gifts of Nominal, or very low, value, such as a calendar or inexpensive pen, or non-lavish and infrequent Meals, such as lunch during a business meeting, may be accepted. In some countries, it is customary to give Gifts in connection with certain holidays. If a Colleague receives such a Gift that is of more than Nominal value or is cash or a cash equivalent, regardless of the amount, and the Colleague believes that they cannot refuse to accept the Gift without offending the person giving the Gift, the Colleague must report the Gift, including type of Gift and approximate value, to the Compliance Team. Depending on the specific circumstances, the Colleague may be required to give the Gift to the Company or donate it to an appropriate charity.

Align, our Colleagues, and Third Parties should never engage in commercial bribery or ask for or receive improper advantages. Individuals may be held personally liable for violations of commercial bribery laws, and may be subject to disciplinary action, including termination, by Align.

## BOOKS AND RECORDS

As a U.S. public company, Align is required to maintain accurate books and records in accordance with the securities and accounting laws of the U.S. (including the FCPA), the laws of other countries where Align operates, and the laws of countries in which Align's subsidiaries are incorporated. Align is also required to implement internal controls designed to detect and prevent potential violations of applicable laws or Align policy.

Every Colleague and Third Party is responsible for accurately and properly recording on the Company's books and records, in a timely manner, all transactions and financial records, regardless of value. This requirement covers every type of activity, including, but not limited to, the activities addressed in this Policy, and the Anti-Corruption Guidebook (e.g., Business Courtesies, Consulting Agreements, and Charitable Contributions). These and other transactions must be recorded using the appropriate accounting codes, supported by required documentation, and made in accordance with Generally Accepted Accounting Principles or other local accounting requirements.

Colleagues and Third Parties must never intentionally make a false representation or mischaracterize any information provided in Align's books and records. Specifically, Colleagues and Third Parties may not intentionally distort or disguise the true nature of any transaction in any accounting or business record, including by omitting relevant information, making an inaccurate representation regarding a transaction, whether in a document or verbally, or establishing any undisclosed or unrecorded funds or assets for any purpose.

*The accuracy and transparency of Align's books and records are of critical importance.*

## RED FLAGS

Red flags are circumstances, activities or conditions that increase the likelihood of a potential violation of law or company policy, and generally require further review to appropriately mitigate risk. Red flags can arise in a variety of circumstances and Align Colleagues must always be alert to the possibility of red flags, especially when engaging in activities that are covered by this Guidebook. Red flags do not mean that a particular circumstance is corrupt but any red flag mandates greater scrutiny and the implementation of safeguards.

Some examples of red flags include:

- Reports or rumors about corruption relating to a particular Government Official, Government Agency or Third Party with which Align proposes to interact;
- Cultural expectations that "grease" or facilitating payments will be paid;
- A requirement that payments be made in cash;
- A Government Official recommends that Align work with a specific Third Party;
- A Third Party has a personal or business relationship with a Government Official, or is a Close Family Member of a Government Official;
- A Third Party requests fees which are much greater than the fair market rate for comparable work carried out in the relevant market without any reasonable explanation;

*Dr. [Signature]*

Principal  
Dental College  
I Phase

- The agreed price for a sale is unusually large, or the final contract price is higher than the offering price, especially in the context of a government tender;
- A consultant or Third Party proposes to be paid a large success fee if, for example, a regulatory approval is obtained or a study is accepted;
- A questionable business rationale for engaging a Third Party or HCP, or vaguely described services to be provided by the Third Party or HCP;
- The timing of a transaction or of the individuals' introduction to the business that suggests an improper motive or purpose;
- A Third Party with minimal experience or qualifications;
- A request for secret payments or payments to offshore accounts, i.e., a payment to an address/bank account in a different country than where the Third Party or HCP lives or operates;
- A request to contract with an individual/unknown legal entity where the relationship is with a known legal entity or individual; or
- A request to keep the engagement confidential or to not notify an individual's employer about the engagement.

This is not an exhaustive list of red flags and you should be alert for any circumstances that may suggest a corruption risk. Red flags should be reported to your supervisor/manager and/or Compliance Team.

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